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PII: S0013-7944(23)00140-6  
DOI: <https://doi.org/10.1016/j.engfracmech.2023.109182>  
Reference: EFM 109182

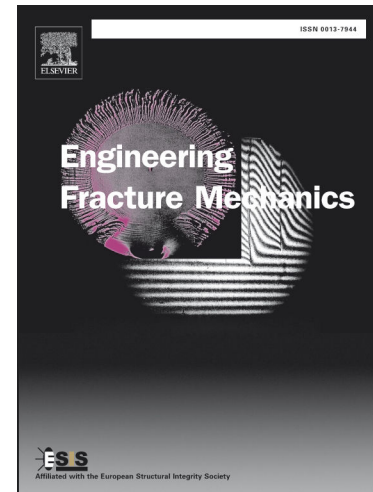
To appear in: *Engineering Fracture Mechanics*

Received Date: 13 December 2022  
Revised Date: 18 January 2023  
Accepted Date: 5 March 2023

Please cite this article as: Macek, W., Tomczyk, A., Branco, R., Dobrzyński, M., Seweryn, A., Fractographical quantitative analysis of EN-AW 2024 aluminum alloy after creep pre-strain and LCF loading, *Engineering Fracture Mechanics* (2023), doi: <https://doi.org/10.1016/j.engfracmech.2023.109182>

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# Fractographical quantitative analysis of EN-AW 2024 aluminum alloy after creep pre-strain and LCF loading

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## Highlights

- The effect of creep pre-strain on LCF failure is examined via fractographic analysis for 2024 AA
- $S_x$ ,  $V_x$  and  $D_f$  fracture surface parameters were strongly dependent on the creep pre-strain history
- A new damage parameter  $P$  combining fracture surface topography and load features is introduced
- The proposed damage parameter  $P$  can successfully estimate the fatigue lifetime for 2024 AA

## Abstract:

This paper explores the applicability of a new damage parameter combining both fracture surface topography and loading features to estimate the fatigue lifetime under creep pre-strain and low-cycle fatigue loading. Fractures of EN-AW 2024 aluminum alloy caused by mixed creep and low-cycle fatigue loading are experimentally characterized and quantified via surface topography analysis. The specimens were preliminary damaged in a creep process at elevated temperature (100°C, 200°C, or 300°C) considering two strain levels for each temperature. The specimens with preliminary damage were then subjected to fatigue tests at room temperature. The post-failure fracture surfaces were examined with an optical profilometer, and the focus was placed on the relationship between the creep-fatigue damage and the associated fracture surface topography parameters. In addition, an original fatigue life prediction model based on both the surface topography values and the applied strains was proposed and verified. The proposed model can be helpful for post-mortem analysis of engineering components subjected to fatigue loading accounting for creep pre-strain.

Keywords:

Creep; fatigue life; low-cycle fatigue; pre-strain effect; fracture surface topography; fractal dimension.

Nomenclature:

$a, b, c, d$	-	exponential coefficients
$A_{\omega}, n_{\omega}$	-	material parameters
$b_1, c_1$	-	fatigue strength and ductility exponents
$D_f$	-	fractal dimension
$E$	GPa	Young's modulus
$mr$	-	material ratio
$f$	Hz	frequency of cyclic loads
$N_f$	cycles	number of cycles to failure
$P$	-	topographic stress factor
$R^2$	-	coefficient of determination
$R_{\varepsilon}$	-	strain ratio
$S_a$	mm	arithmetical mean height
$S_k$	mm	core height
$S_{mrk1}$	%	upper bearing area
$S_{mrk2}$	%	lower bearing area
$S_q$	mm	root mean square height
$S_p$	mm	maximum peak height
$S_{pk}$	mm	reduced peak height
$S_{sk}$	-	skewness
$S_v$	mm	maximum pit depth
$S_{vk}$	mm	reduced pit depth
$S_x$		height parameters
$S_z$	mm	maximum height
$T$	°C	temperature
$t$	h	creep time
$V$	-	box volume
$V_m$	mm <sup>3</sup> /mm <sup>2</sup>	material volume
$V_{mc}$	mm <sup>3</sup> /mm <sup>2</sup>	core material volume
$V_{mp}$	mm <sup>3</sup> /mm <sup>2</sup>	peak material volume
$V_v$	mm <sup>3</sup> /mm <sup>2</sup>	void volume
$V_{vc}$	mm <sup>3</sup> /mm <sup>2</sup>	core void volume
$V_{vv}$	mm <sup>3</sup> /mm <sup>2</sup>	pit void volume
$V_x$		functional parameters (volume)
$\sigma_1$	MPa	principal stress
$\sigma_a$	MPa	nominal stress amplitude
$\sigma_y$	MPa	yield stress
$\sigma_u$	MPa	ultimate tensile stress
$\varepsilon_B$	-	strain at break
$\sigma_{creep}$	MPa	creep pre-strain stress
$\delta$	-	box width
$\varepsilon_1$	-	principal strain
$\varepsilon_{a1}, \varepsilon_{ae1}, \varepsilon_{ap1}$	-	total, elastic and plastic (ductile) strain amplitude respectively
$\varepsilon_s$	-	secondary creep strain
$\varepsilon_{creep}$	-	creep strain
$\varepsilon_t$	-	tertiary creep strain
$\omega$	-	damage state parameter

## Abbreviations:

CMM	coordinate measurement machine
EBM	enclosing boxes method
EBSD	electron backscatter diffraction
LAB	percentage of low angle boundaries
LCF	low-cycle fatigue
LED	light-emitting diode
LSM	least square method
NM	non-measured points
ROI	region of interest
RU	real unit
SEM	scanning electron microscope
SFE	stacking fault energy
SSE	sum squared error
RMSE	root-mean-square error

## 1. Introduction

Creep and fatigue damage development is a complex and difficult phenomenon to model <sup>1</sup>. This phenomenon results from the combination of two different failure mechanisms which individually can be a very complicated to understand because they are influenced by many factors. In fact, not only the manufacturing technology and material structure <sup>2</sup>, but also the variability in temperature, the loading <sup>3,4</sup> or the environment conditions <sup>5</sup> can impressively influence the fatigue life of a structural element. Though the wide research on the subject, which goes on for almost two centuries, modeling these complicated influences continues to challenge scientists and engineers. The dependence between loading features and fatigue lifetime is often explained using stress-based <sup>6</sup>, strain-based <sup>7</sup>, or energy-based <sup>8,9</sup> approaches. Nevertheless, more research is needed to better identify the governing variables as well as to develop more accurate fatigue damage quantifiers <sup>10-14</sup>.

Metallic materials are key materials for critical structural parts and mechanical components subjected to complex failure processes. In particular, aluminium alloys have showed to be preferable materials for specific engineering areas, due to their high strength, low density, and attractive price <sup>15-17</sup>. Creep-fatigue relations occur in many elements made of metallic alloys, in which quasi-static and transient load changes alternate or overlap. Sonntag et al. <sup>18</sup> investigate various stress relaxation and creep phases within each fatigue cycle. Hormozi <sup>19</sup> et al. introduced symmetrical hold time to the test and lead to find the interaction of creep/fatigue. They also employed energy density method to identify the cycle number at different stages. Sun et al. <sup>20</sup> form 3D damage diagram for estimating creep-fatigue damage level. Tomczyk and Seweryn <sup>21</sup> investigated EN-AW 2024 aluminum alloy under LCF loading with creep pre-strain. They determined and verified the damage growth model. However studies dealing with surface topography measurements in aluminium alloys caused by creep-fatigue damage are missing in the literature.

Thus, the development of surface topography measurement techniques opens new opportunities to analyze the failure mechanisms in many fields of science and industry <sup>22</sup> and to better understand the surface topography features. The fractured surface integrity assessment <sup>23</sup> based on this detailed analysis of fracture surfaces can consign precious information about the damage history of the post-mortem inspected element. In most cases, the fracture surfaces caused fatigue loading are so complex that not only height  $S_x$  or volumetric  $V_x$  parameters are used for their complete



description, but also other parameters not covered in the standards <sup>24,25</sup>, such as the fractal dimension  $D_f$  <sup>26,27</sup>.

The above-mentioned reasons were the motivation to undertake the research presented in this paper, wherein the thread of the metrological fractographic analysis and fatigue life modeling for the EN-AW 2024 AA subjected to creep pre-strain and LCF failure was combined.

## 2. Methodology

The complete testing procedure can be divided in three main stages. Firstly, creep tests at elevated temperature (100°C, 200°C, or 300°C) are conducted. Then, LCF fatigue tests at room temperature (21°C) are performed. At last, the post-mortem fracture surfaces are measured with 3D Coordinate Measurement Machine (CMM). The data collected at all stages of this research allows for a detailed analysis of fatigue life, taking into account fractographic aspects.

### 2.1. Material and specimens

EN AW-2024 aluminum alloy in T3511 temper was obtained in the form of 16 mm-extruded rod. The chemical composition of the tested alloy is shown in Table 1. The cylindrical specimens had a gauge section with a length of 13 mm and diameter of 6.5 mm, respectively. Figure 1 shows the shape and dimension of the specimens. The differences in the geometry of the specimens concerned only the grip part. In the creep tests (see Fig. 1(a)), a thread was used in this part due to the method of fastening in the testing machine rods. It ensured stable fixation of the specimen at elevated temperature. The thread was 'removed' after pre-strain process, unloading and cooling of the specimen. This process was carried out using the technology of accurate turning. It should be emphasized that during machining, no loads were introduced in the gauge length of the specimen. Monotonic tensile tests were carried out before the start of the proper tests. Metallographic micro-sections were also made in order to observe the microstructure of the material. These tests confirmed that the properties of the material before and after thread removal are the same. The specimens prepared in this way were subjected to LCF tests (see Fig. 1(b)). The gripping part, devoid of thread, was clamped in the hydraulic jaws of the fatigue testing machine grips.

**Table 1.** Nominal chemical composition of the EN AW-2024 aluminum alloy (wt.%) <sup>21</sup>.

Si	Fe	Cu	Mn	Mg	Cr	Zn	Ti	Al
0.13	0.25	4.4	0.62	1.7	0.01	0.08	0.05	92.76

a)

b)

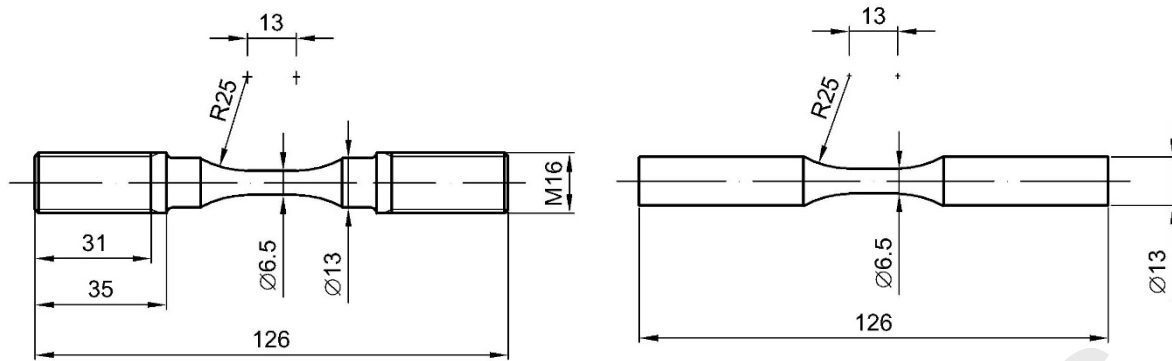


Fig. 1. Dimensions of specimens used in: (a) creep tests; (b) fatigue tests (units: millimeters).

The as-received material was characterized by Young's modulus  $E=74\text{GPa}$ , yield stress  $\sigma_y=447\text{MPa}$ , ultimate tensile stress  $\sigma_u=580\text{MPa}$  and strain at break  $\varepsilon_B=13.3\%$ . The monotonic tensile curve of the tested batch is shown in Figure 2.

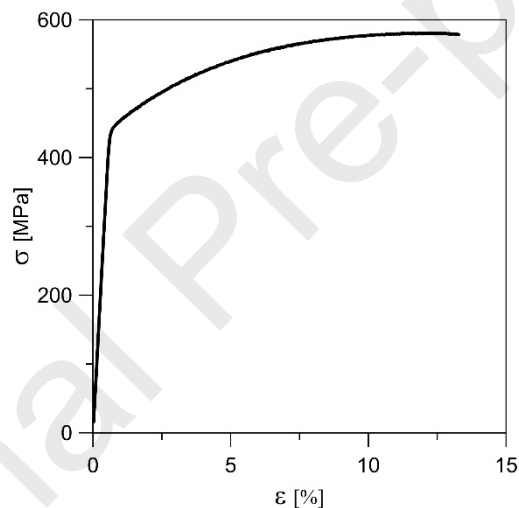
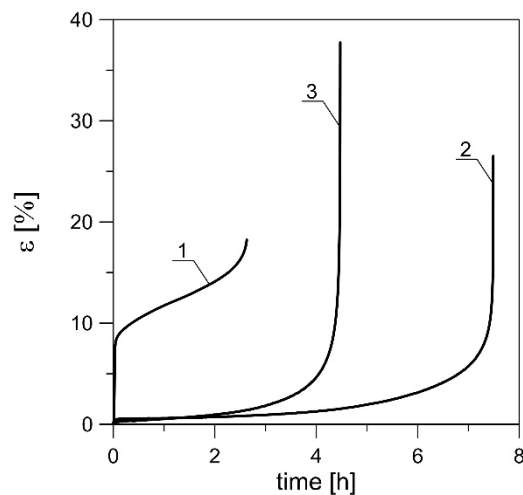


Fig. 2. Monotonic stress-strain tensile curve for the as-received material.

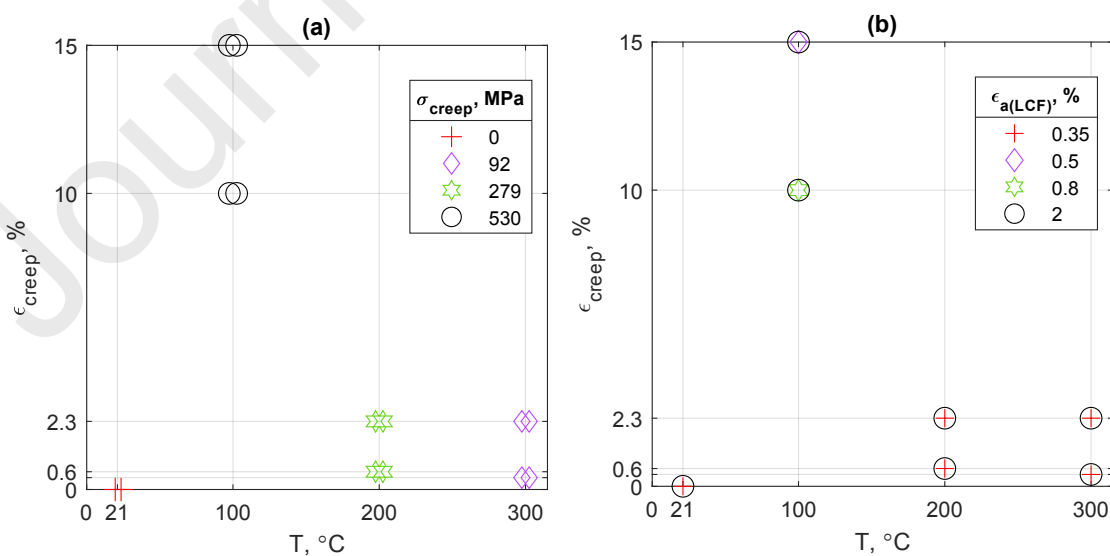
## 2.2. Creep tests

The creep tests were carried out on a four-column Kappa 100S machine with an electromechanical drive and loading range up to 100 kN, manufactured by Zwick/Roell. The test stand was equipped with an Epsilon extensometer, with a measurement base of 25 mm and a range of  $\pm 12.5$  mm. The thermal loading was generated using a three-zone Maytec furnace with a dedicated Zwick/Roell controller and the specimen temperature was measured by thermocouples. The test stand (creep tester – furnace – controller) was managed using Testar Xpert II software. The creep tests were conducted according to the EN ISO 204 standard<sup>29</sup> considering three temperatures (100°C, 200°C, or 300°C) for five levels of strain at every temperature. For each temperature, two different strain values were defined. Full creep-rupture curves for conditions in which pre-strains were applied are shown in Fig. 3. These curves made it possible to determine the level of creep pre-strain ( $\varepsilon_s$  and  $\varepsilon_t$ ) of the specimens at each elevated temperature.



**Fig. 3.** Creep-rupture curves of analyzed material: 1 –  $T=100^{\circ}\text{C}$ ,  $\sigma_{\text{creep}}=530$  MPa; 2 –  $T=200^{\circ}\text{C}$ ,  $\sigma_{\text{creep}}=279$  MPa, 3 –  $T=300^{\circ}\text{C}$ ,  $\sigma_{\text{creep}}=92$  MPa

The initial strain was applied in such a way that some of the specimens were subjected to creep at one of the three temperatures at a constant value of creep until reaching the pre-defined secondary creep strain ( $\varepsilon_s$ ), and then unloaded, cooled, and subjected to low-cycle fatigue. Another batch of specimens was subjected to creep strain, called here tertiary creep strain ( $\varepsilon_t$ ), and then the specimens were unloaded, cooled, and subjected to low-cycle fatigue. The  $\sigma_{\text{creep}}$  value for both levels of pre-strain at a given temperature was the same, as presented in Fig. 4(a) and in Table 2. A summary of the creep temperature  $T$  registered in the creep experiments for the above-mentioned creep strain levels and the planned LCF strain amplitudes ( $\varepsilon_a$ ) is exhibited in Fig. 4(b).



**Fig. 4.** Creep strain  $\varepsilon_{\text{creep}}$  and pre-strain temperature  $T$ , grouped by: (a) creep stress  $\sigma_{\text{creep}}$ ; (b) LCF strain amplitude  $\varepsilon_a$ .

### 2.3. LCF tests

LCF tests were performed in an MTS 322 servo-hydraulic machine with an actuator range of  $\pm 50$  kN, and the strain response was measured by using an extensometer with a gauge length of 12.5 mm and range of  $\pm 5$  mm attached directly to the specimen. Cyclic loads were carried out with the control of the total strain amplitude  $\varepsilon_a$ . Strain ratio  $R_\varepsilon = -1$ , and load frequency  $f = 0.2$  Hz. Nominal stress values  $\sigma_a$  were determined for the mid-life cycle.

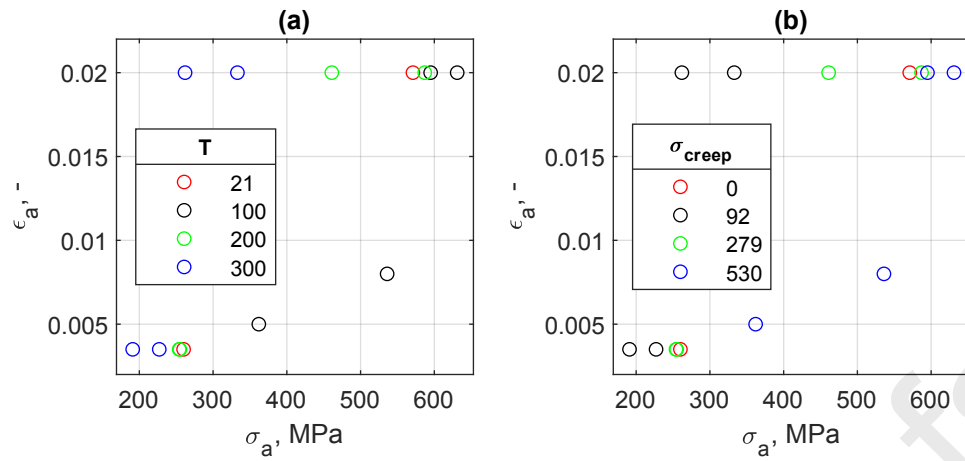
The applied strain amplitude ( $\varepsilon_a$ ) and the corresponding stress amplitude ( $\sigma_a$ ) for the different pre-strain temperatures ( $T$ ) and stress-creep histories ( $\sigma_{creep}$ ) are presented in Fig. 5. Fig. 6 shows the fatigue life curves for the as-received material and for the material with different pre-strain histories.<sup>1</sup> Total strain amplitude  $\varepsilon_a$  was determined here according to the Manson-Coffin-Basquin<sup>30-32</sup>:

$$\varepsilon_a = \varepsilon_{ae} + \varepsilon_{ap} = \frac{\sigma'_f}{E} (2N_f)^{b_1} + \varepsilon'_f (2N_f)^{c_1}, \quad (1)$$

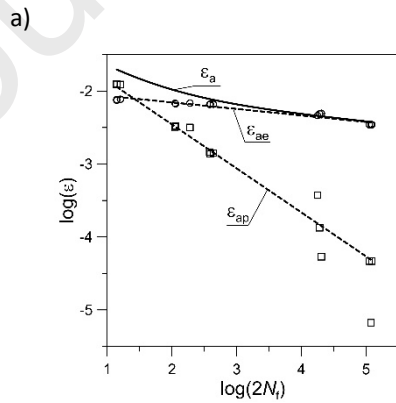
where  $\varepsilon_{ae}$  and  $\varepsilon_{ap}$  are the elastic and plastic strain amplitudes, respectively;  $\sigma'_f$  and  $\varepsilon'_f$  are the fatigue strength and ductility coefficients, respectively;  $b_1$  and  $c_1$  are the fatigue strength and ductility exponents, respectively; and  $2N_f$  is the number of reversals to failure. Results for  $\sigma_{creep} = 0$  and  $T = 21^\circ\text{C}$  represent the tests conducted for the as-received condition, at room temperature, without the application of any pre-strain. Note the  $N_f$  values for low and high load amplitudes (see Tab. 2 and Fig. 6.). They clearly show that for large plastic deformations there is a substantial increase in the fatigue life of the material with pre-strain (in  $200^\circ\text{C}$  and  $300^\circ\text{C}$ ) in relation to as-received material. However, in the case of small plastic deformations, the aforementioned fatigue life deteriorates. At the same time, in the case of pre-strain at  $100^\circ\text{C}$ , the fatigue life deteriorates for high strain amplitudes compared to as-received material.

**Table 2.** Specimen nomenclature and pre-strain condition together with LCF condition.

Specimen symbol	pre-strain condition			LCF condition		
	temperature $T$ [ $^\circ\text{C}$ ]	$\varepsilon_s$ or $\varepsilon_t$ [%]	$\sigma_{creep}$ [MPa]	$\varepsilon_a$ [%]	$\sigma_a$ [MPa]	$N_f$ [cycles]
(1)	as-received material			0.35	571	58965
(2)				2	260	7
S4	100	$\varepsilon_t = 15$	530	2	631	2
S5				0.5	362	5423
S88		$\varepsilon_s = 10$		2	595	3
S1010				0.8	536	183
R3	200	$\varepsilon_s = 0.6$	279	2	587	12
R6				0.35	254	62739
R77		$\varepsilon_t = 2.3$		2	461	31
R11				0.35	255	22914
P7	300	$\varepsilon_s = 0.4$	92	2	333	66
P3				0.35	227	5747
P55		$\varepsilon_t = 2.3$		2	262	99
P1010				0.35	191	3836

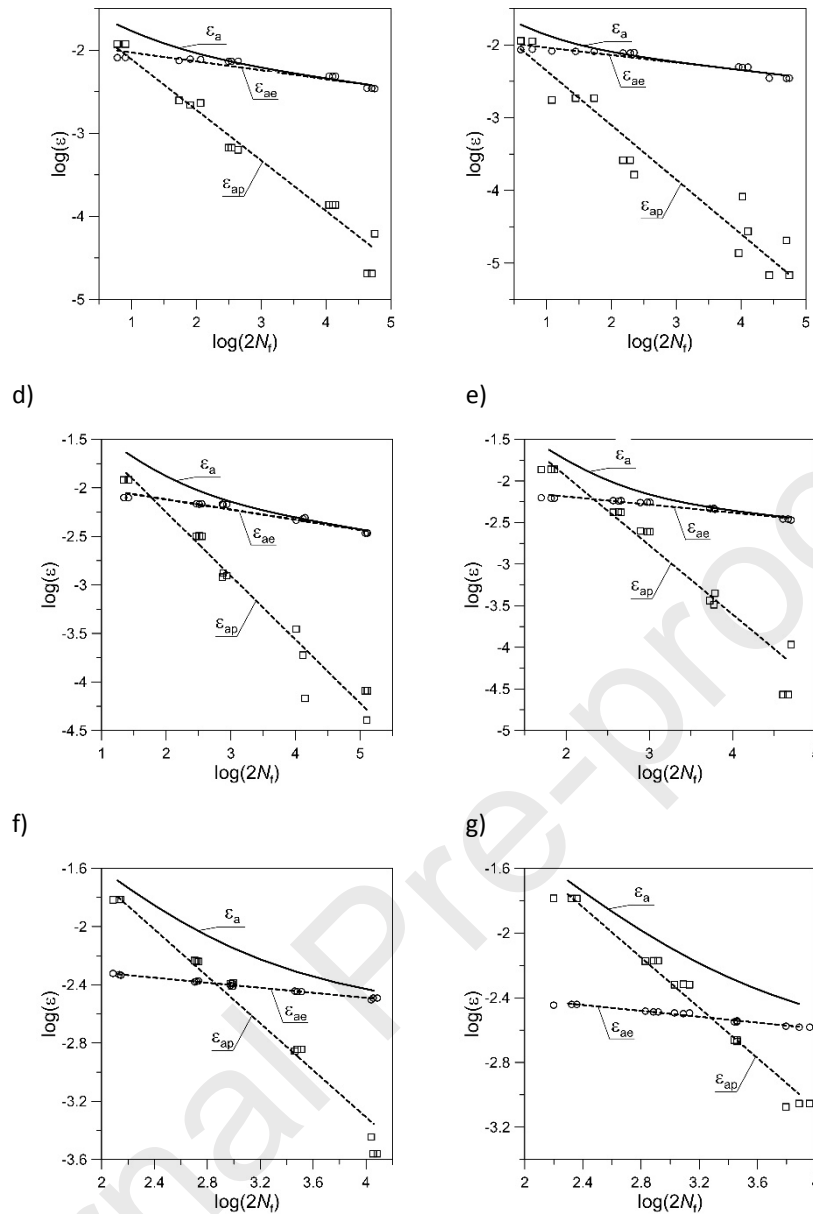


**Fig. 5.** Strain-stress relationships for different pre-strain scenarios, grouped by: (a) pre-strain temperature  $T$ ; (b) pre-strain stress  $\sigma_{creep}$ .



b)

c)



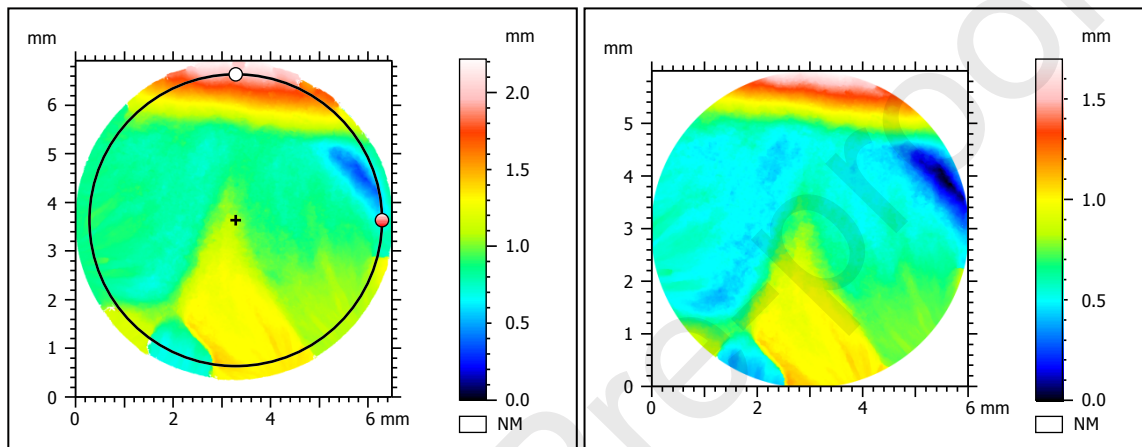
**Fig. 6.** Fatigue life curves at room temperature for the as-received material (a) and for material with different creep pre-strain histories: (b)  $T=100^\circ\text{C}$ ,  $\sigma_{\text{creep}}=530$  MPa,  $\epsilon_s=10\%$ ; (c)  $T=100^\circ\text{C}$ ,  $\sigma_{\text{creep}}=530$  MPa,  $\epsilon_t=15\%$ ; (d)  $T=200^\circ\text{C}$ ,  $\sigma_{\text{creep}}=279$  MPa,  $\epsilon_s=0.6\%$ ; (e)  $T=200^\circ\text{C}$ ,  $\sigma_{\text{creep}}=279$  MPa,  $\epsilon_t=2.3\%$ ; (f)  $T=300^\circ\text{C}$ ,  $\sigma_{\text{creep}}=92$  MPa,  $\epsilon_s=0.4\%$ ; (g)  $T=300^\circ\text{C}$ ,  $\sigma_{\text{creep}}=92$  MPa,  $\epsilon_t=2.3\%$  <sup>1</sup>

#### 2.4. Fracture surface topography measurement after LCF loading

The fracture surfaces of the analyzed specimens were examined by the 3D CMM, a Mitutoyo Quick Vision Apex 302, equipped with a  $2\times$  lens and programmable light-emitting diode (LED) stage and coaxial lighting. It was used a scale resolution of  $0.1\ \mu\text{m}$  with a linear encoder scale type. Topography data processing and calculations were done by means of MountainsMap surface metrology software.

According to the entire fracture surface method <sup>33</sup>, the whole surface was reduced to eliminate the regions associated with the geometric discontinuities or missing points and to obtain uniform

dimensions for all samples. This way of selecting data for analysis was verified for different loading conditions, materials, and specimen shapes. In the case analyzed here, the entire fracture surface was reduced to a circle of 6 mm diameter, in order to remove the final break, discontinuities and “non-sampling” areas. The process of selecting the Region of Interest (ROI) is shown in Figure 7. The figure on the left-hand side exhibits the original fracture surface, while the other shows the ROI for the same specimen. This particular fracture surface belongs to the P3 specimen, which was subjected to pre-strain stress  $\sigma_{creep}$  of 92 MPa at a temperature  $T$  equal to 300°C, and next was tested in LCF regime at strain amplitude  $\varepsilon_a$  equal to 0.35% (see Table 2). The selected area is marked with a circle over the entire fracture surface shown on the left-hand side of Fig. 7, and the right-hand side displays the extract effect. Non-measured points (NM) are represented by the white color areas.

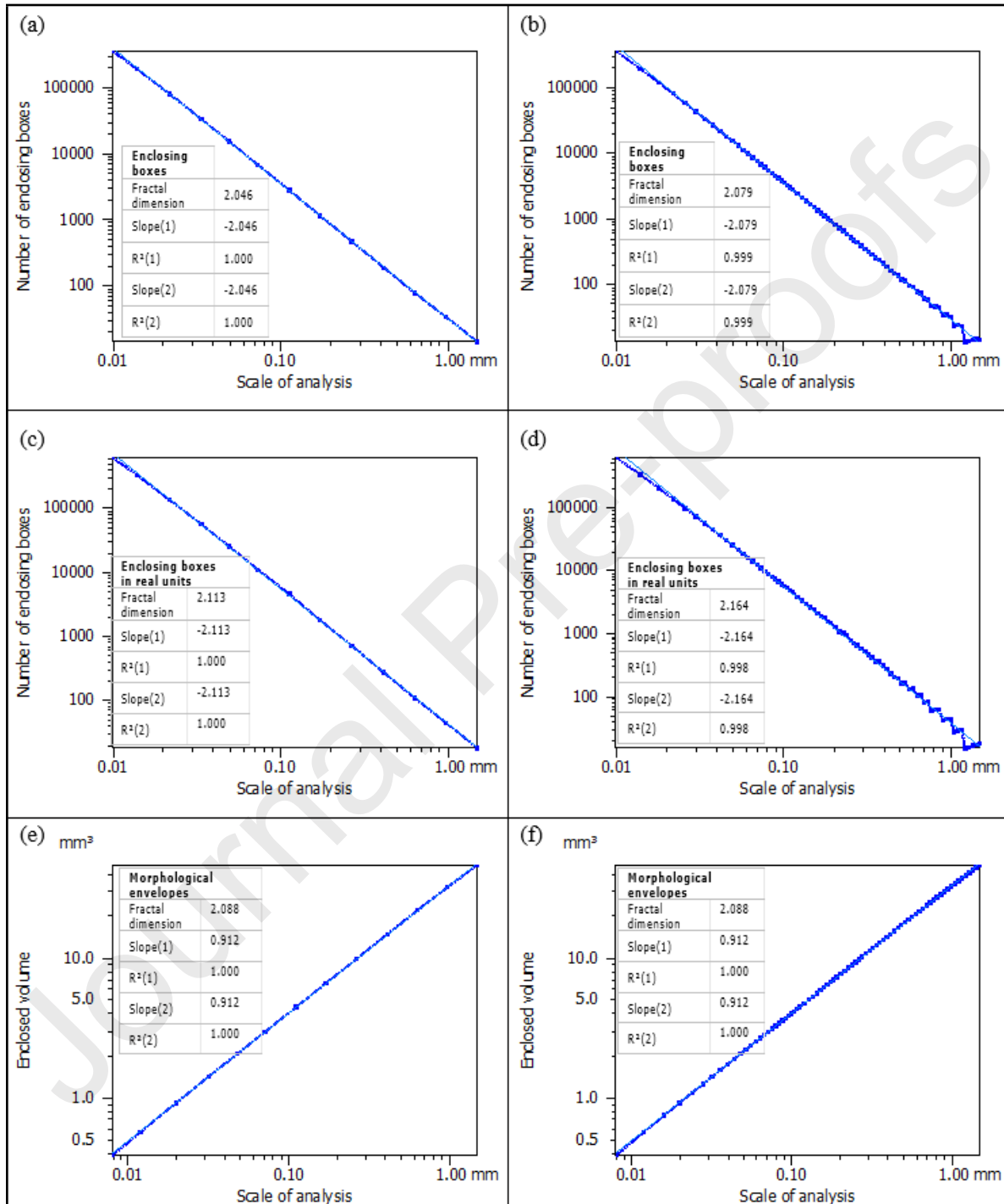


**Fig. 7.** Scheme of the extracted area – Region of Interest (ROI) of the P3 specimen.

Surface topography studies were carried out on the fracture area using both height parameters  $S_x$ , and volume parameters  $V_x$ , according to ISO 25178-2 standard<sup>34</sup>. This standard, whose main title is "Geometrical product specifications (GPS) - Surface texture: Areal", introduces the terminology and the main definitions associated with surface texture, and describes the main parameter used in the evaluation of surface texture. The examined surfaces were also characterised by fractal dimension  $D_f$ , measured by the Enclosing Boxes Method (EBM). In this approach, when the surface is divided, algorithms have to maintain the number of iterations that take place. The resolution of the plot defines the number of iterations and, therefore, the calculation time. In this analysis, for coarse resolution, it was 15 data points, and for extra-fine resolution, it was 96 data points. The EBM divides the area into smaller divisions with a width  $\delta$  and calculates the volume ( $V\delta$ ) of all volumes covering the entire area. This is an iterative procedure in which the width of the box is changed to  $\ln(V\delta)/\ln(\delta)$ . Despite a logarithmic scale is used for the axes, the values of the divisions are given as dimensional units. To estimate the fractal dimension  $D_f$ , a line is fitted using the Least Square Method (LSM). The absolute slope of the fitted line represents the value of the fractal dimension  $D_f$ .

Fig. 8 shows exemplary plots of fractal dimension determined for coarse resolution (see Fig. 8a, 8c and 8e) and extra-fine resolution (see Fig. 8b, 8d and 8f). This fracture surface belongs to the specimen (1) without pre-strain under LCF at a strain amplitude  $\varepsilon_a=0.35\%$  (see Table 2). Morphological envelopes method (see Fig. 8e and 8f) embraces the upper and lower envelopes which are calculated by morphological opening and closing interposing a structuring element that is a horizontal line segment of length  $\delta$ . The computed volume for surfaces ( $V\delta$ ) is plotted as a function of the scale

$\ln(V\delta)/\ln(\delta)$ . The fractal dimension  $D_f$  is calculated from the slope of one of the two regression lines that corresponds better to the fitted data. In box counting, the real scale and the unit are unknown; thus, the surface is sampled using arbitrary values of  $V$ , and the unit of detail is deduced from the data after the calculation. Enclosing boxes in the real unit method (see Fig. 8c and 8d) are similar to the EBM but, in such a case, it uses boxes with a width in real size (instead of a width in points).



**Fig. 8.** Fractal dimension analysis for the specimen (1) extracted area using the entire fracture surface method: (a) enclosing boxes - coarse resolution; (b) enclosing boxes - extra-fine resolution; (c) enclosing boxes in real units - coarse resolution; (d) enclosing boxes in real units - extra-fine resolution; (e) morphological envelopes - coarse resolution; (f) morphological envelopes - extra-fine resolution.

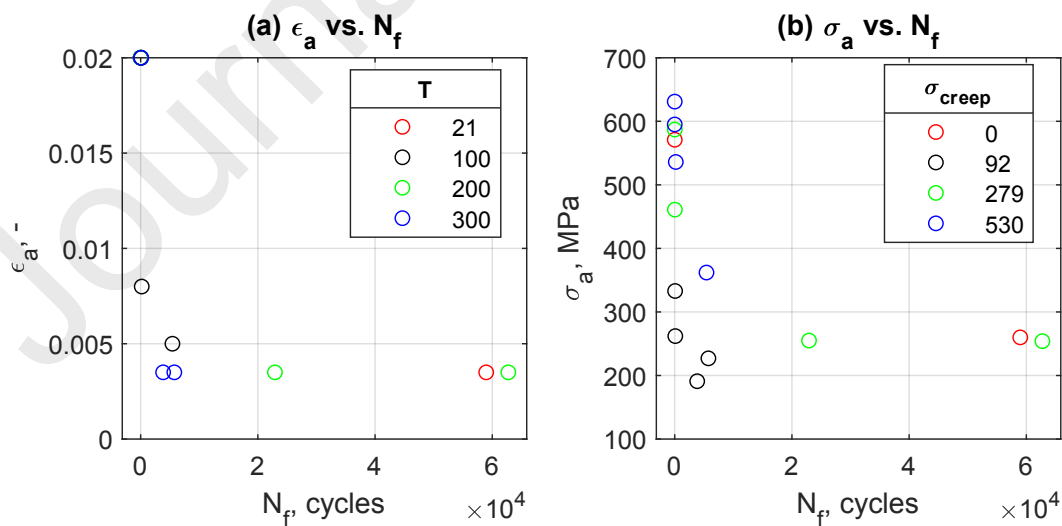


### 3. Results and discussion

This section is organized into three subsections. The first one addresses the fracture surface topography features in terms of standard-based and fractal-based parameters and presents an overview of the creep pre-strain behaviour for the tested conditions. Next, fracture surfaces are examined by SEM to identify the main failure mechanisms and the surface morphologies. Finally, a new fractography-dependent model is developed to estimate the fatigue lifetime.

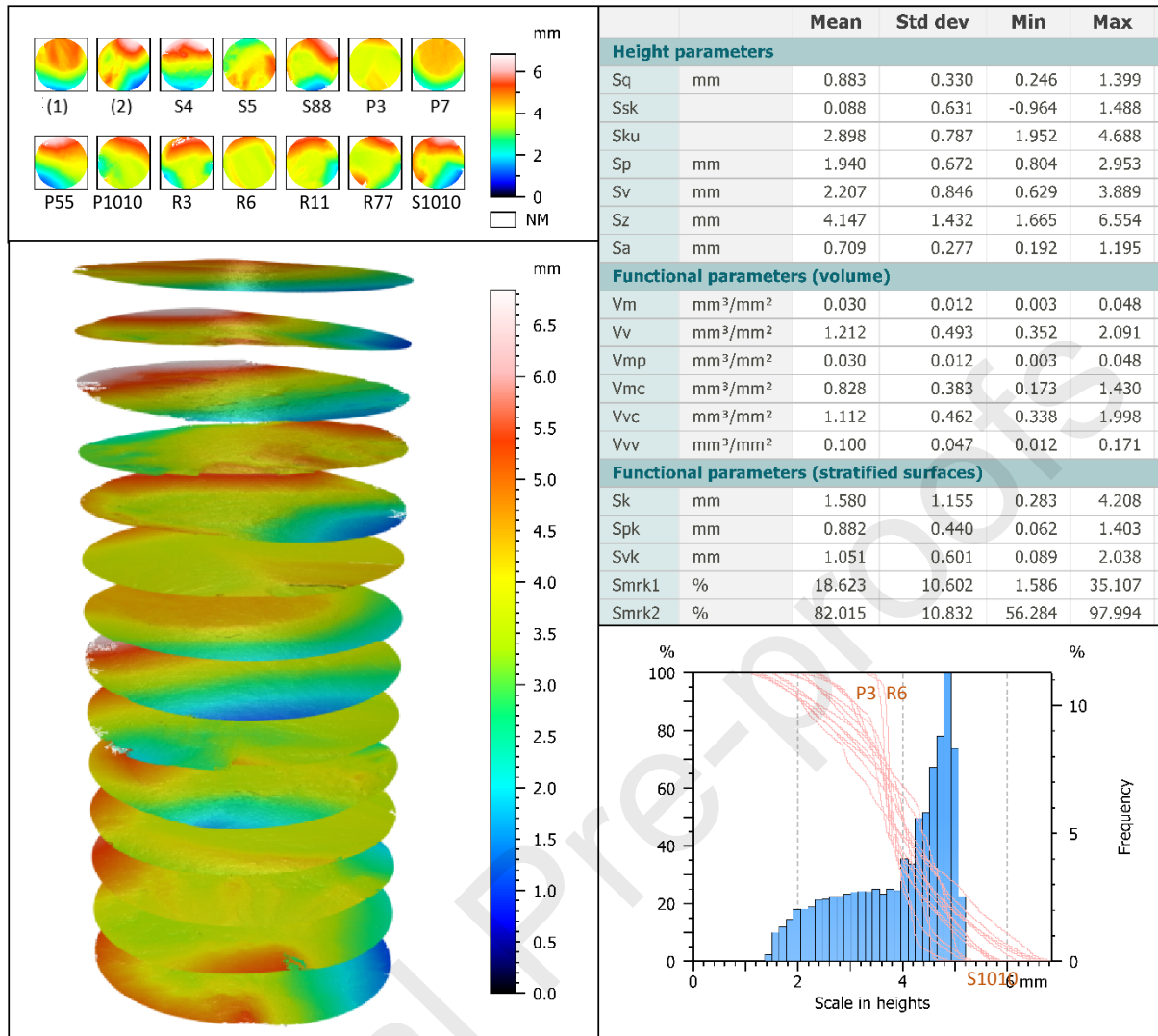
#### 3.1. Fracture surface topography

The experimental LCF tests under creep conditions at elevated temperature for the EN AW 2024 aluminum alloy, as already mentioned in the previous section, was carried out in a previous paper<sup>21</sup>. However, in order to better understand the fracture surface morphology features and the associated damage accumulation phenomena, the cyclic deformation behaviour of the tested alloy after creep pre-strain conditions, is briefly described, see Figure 9. It was found that standard plots  $x$ - and  $y$ -coordinates using a base-10 logarithmic scale on the  $x$ -axis and the  $y$ -axis would be less appropriated, so the log-log scales were not used. Figure 9a shows the typical relationship between the applied strain amplitude and the fatigue life for different temperatures, while Figure 9b shows the relationship between the applied stress amplitude and the fatigue life for different creep stresses. In both cases, it is clear that both variables (i.e. temperature and creep stress) have a strong influence on fatigue life (see Fig. 9). This indicates that the development of fatigue life prediction models to deal with these two combined effects is a complex task.



**Fig. 9.** Strain amplitude  $\epsilon_a$  and stress amplitude  $\sigma_a$  versus fatigue life  $N_f$  after different pre-strain scenarios: (a) grouped by temperature  $T$ ; (b) grouped by creep stress  $\sigma_{creep}$ .

Figure 10 displays the typical fracture surfaces obtained for 14 analysed cases. Amplification in the  $z$ -axis has been optimized (reduced) to facilitate the presentation of all fractures in a single 3D series view. Pseudo-color views show maximum values close to the surface (final fracture region) and also small values at the surface (initiation sites). Of course, it depends on which of the two parts of the split specimen we are considering. It also presents a table with the mean, standard deviation, and minimum and maximum values of fracture surface topography parameters<sup>33</sup>, respectively. The three group of surface parameters shown in the table are: (1) height parameters expressed as the height function; (2) functional parameters (volume) calculated for a material ratio  $mr$ ; and (3) functional parameters (stratified surfaces) based on a graphical construction of the Abbott-Firestone curve built from an areal surface. A summary histogram and the corresponding Abbott curves for all specimens are provided in the lower right corner of the same figure. Abbott curves seem to be similar for most of cases, notwithstanding individual histograms and Abbott curves for all samples are also presented in Appendix A1. The Abbott curves have the smallest scale on heights (less than 2 mm) for the R6 and P3 specimens. These specimens were selected because their number of cycles to failure  $N_f$  are rather different, amounting to 5747 and 62739, respectively. The largest range of scale on heights (above 6 mm) was found for the S1010 specimen, with a correspondingly smaller number of cycles to failure  $N_f$  equal to 183.

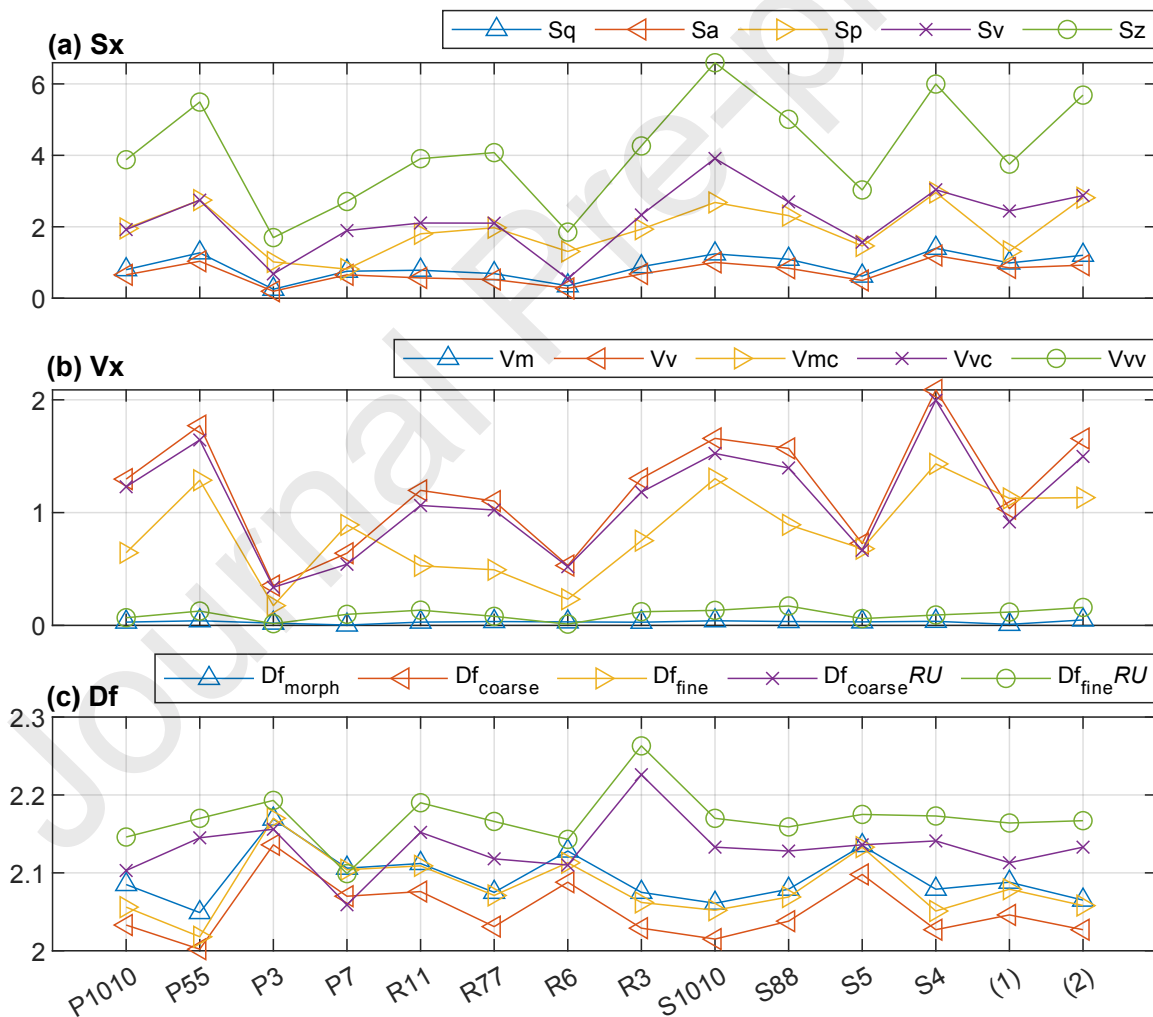


**Fig. 10.** 3D series view of the fracture surfaces with summary list of main parameters, height histogram and Abbott curves.

The surface topography parameters  $S_x$  and  $V_x$ , and especially  $S_q$  and  $V_v$ , which were previously selected as the best fitting parameters<sup>36</sup>, are later used for fatigue life assessment. The  $S_q$  parameter, which is the standard deviation, has more statistical significance. This height parameter is directly related to surface energy. The void volume parameter  $V_v$  is calculated by integrating the volume enclosed above the surface and below a horizontal cutting plane. In this study, based on the performance algorithms and specific calculations used for estimating the fractal dimension  $D_f$ , the most appropriate method of fractal analysis for the investigated surfaces was the morphological envelope. The resulting envelope follows the form of the surface all over in a way that the distortions caused the end effects are avoided. The morphological envelope method requires more computation time than EBM, but for the purposes of this paper it is negligible. All the results of the fracture surface topography parameters are exhibited in Table 3. The columns with the parameters of the surface topography of fatigue fractures ( $S_q$ ,  $V_v$ , and  $D_f$ ) which were used for further analysis and presented in the discussion are marked in green.

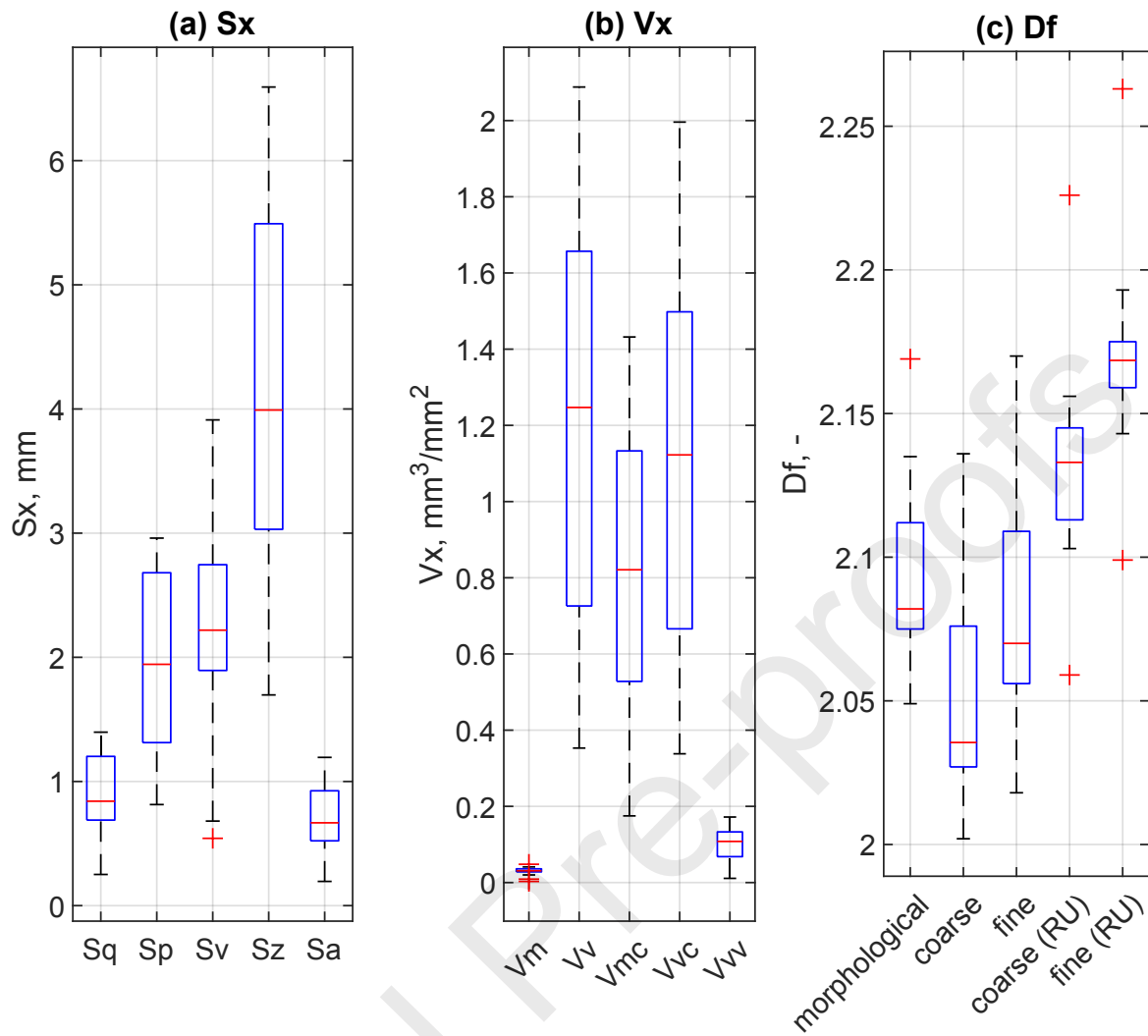
**Table 3.** Summary of the  $S_x$ ,  $V_x$  and  $D_f$  results.

Specimen	height parameters, $S_x$							functional parameters (volume), $V_x$						fractal dimension, $D_f$				
	$S_q$	$S_{sk}$	$S_{ku}$	$S_p$	$S_v$	$S_z$	$S_a$	$V_m$	$V_v$	$V_{mp}$	$V_{mc}$	$V_{vc}$	$V_{vv}$	Morphological envelopes	EBM (coarse)	EBM (fine)	EBM (RU, coarse)	EBM (RU, fine)
P1010	0.799	0.535	2.632	1.955	1.922	3.877	0.654	0.029	1.297	0.029	0.644	1.229	0.068	2.085	2.033	2.056	2.103	2.146
P55	1.27	-0.054	2.284	2.746	2.746	5.492	1.034	0.041	1.771	0.041	1.287	1.644	0.127	2.049	2.002	2.018	2.145	2.17
P3	0.251	1.104	4.599	1.016	0.681	1.697	0.195	0.02	0.353	0.02	0.175	0.338	0.015	2.169	2.136	2.17	2.156	2.193
P7	0.755	-0.949	2.478	0.814	1.894	2.708	0.654	0.003	0.64	0.003	0.891	0.542	0.098	2.106	2.07	2.104	2.059	2.099
R11	0.784	-0.023	3.237	1.803	2.105	3.907	0.568	0.029	1.197	0.029	0.528	1.063	0.135	2.112	2.076	2.109	2.152	2.19
R77	0.689	0.563	3.248	1.973	2.102	4.076	0.522	0.034	1.102	0.034	0.493	1.023	0.08	2.075	2.031	2.071	2.118	2.166
R6	0.348	1.475	4.669	1.309	0.542	1.851	0.274	0.031	0.531	0.031	0.232	0.52	0.011	2.128	2.088	2.113	2.11	2.143
R3	0.883	-0.025	2.72	1.932	2.332	4.263	0.68	0.028	1.303	0.028	0.751	1.182	0.121	2.075	2.029	2.062	2.226	2.263
S1010	1.241	-0.209	2.367	2.681	3.912	6.593	1.003	0.041	1.658	0.041	1.301	1.524	0.133	2.061	2.015	2.052	2.133	2.17
S88	1.091	-0.166	2.786	2.312	2.696	5.009	0.839	0.034	1.569	0.034	0.892	1.396	0.172	2.079	2.038	2.069	2.128	2.159
S5	0.619	-0.31	2.625	1.463	1.568	3.031	0.497	0.031	0.726	0.031	0.68	0.666	0.06	2.135	2.098	2.133	2.136	2.175
S4	1.397	0.241	1.952	2.96	3.036	5.996	1.194	0.036	2.088	0.036	1.432	1.996	0.092	2.079	2.027	2.051	2.141	2.173
(1)	0.987	-0.716	2.271	1.313	2.441	3.754	0.849	0.009	1.035	0.009	1.126	0.917	0.118	2.088	2.046	2.079	2.113	2.164
(2)	1.202	-0.177	2.75	2.813	2.873	5.686	0.925	0.048	1.657	0.048	1.133	1.498	0.159	2.065	2.027	2.058	2.133	2.167

**Fig. 11.** Results of fracture surface topography measurement: (a) height parameters,  $S_x$ ; (b) functional parameters (volume),  $V_x$ ; (c) fractal dimension  $D_f$ . The units for each parameter are defined in the Nomenclature section.

The results of the fracture surface topography measurements for the tested specimens are presented in Figure 11. Figure 11(a) plots the  $S_x$  parameters, Figure 11(b) plots the functional parameters  $V_x$ , and Figure 11(c) plots the fractal dimension  $D_f$ . For most  $S_x$  parameters, the maximum value is for specimen S1010, and the minimum for P3 (see Fig. 11(a)). Regarding the  $V_x$  parameters, the maximum value was found in the majority of cases for S4 while the minimum value was found for the P3 fracture surface, similarly to the  $S_x$  parameters. For the fractal dimension  $D_f$ , determined by the method of morphological envelopes, which was considered the most adequate, the maximum value occurred for the P3 topography, and was equal to 2.169, while the minimum value occurred for P55 specimen, and was equal to 2.049 (see Fig. 11(c)).

Figure 12 displays, on each boxplot, the median value (central red line) and the 25th and 75th percentile values (bottom and top edges of the box, respectively) found in the analysis. The whiskers extend to the most extreme data points not considered outliers, and the outliers are plotted individually using the '+' marker symbol. Among the  $S_x$  parameters,  $S_z$  has the largest numerical range of results, which of course results from the way it is calculated (maximum height). Root mean square height  $S_q$  and arithmetical mean height  $S_a$  has lower intervals, with the first parameter being more statistically significant. Among the  $V_x$  parameters, void volume has the largest range of values.  $V_v$  can be used to describe surface shape and distinguish surface volume characteristics with different roughness levels. As far as the fractal dimension is concerned, it is clear from Fig. 12(c) that higher resolutions result in increased values. Furthermore, all the samples had a relatively small scatter irrespective of the calculation method. The differences in the  $D_f$  values varied from 2.002 (enclosing boxes considering the coarse approach) to 2.263 (enclosing boxes in real units considering fine resolution).



**Fig. 12.** Boxplot: (a) height parameters,  $S_x$ ; (b) functional parameters (volume),  $V_x$ ; (c) fractal dimension,  $D_f$ .

Surface topography is the consequence of the simultaneous interactions of many independent factors, both random and determined, and as an outcome, these interactions lead to a very complex geometry. There are evident differences between individual fractures, as shown in Figure 13, if we compare mixed creep and LCF histories. Although there are obvious differences between specific fracture zones, the methodology proposed here, called entire fracture surface method, treats the fractured surface as a total area, not distinguishing the specificities of these individual regions (e.g. crack initiation, crack propagation, or final rupture).

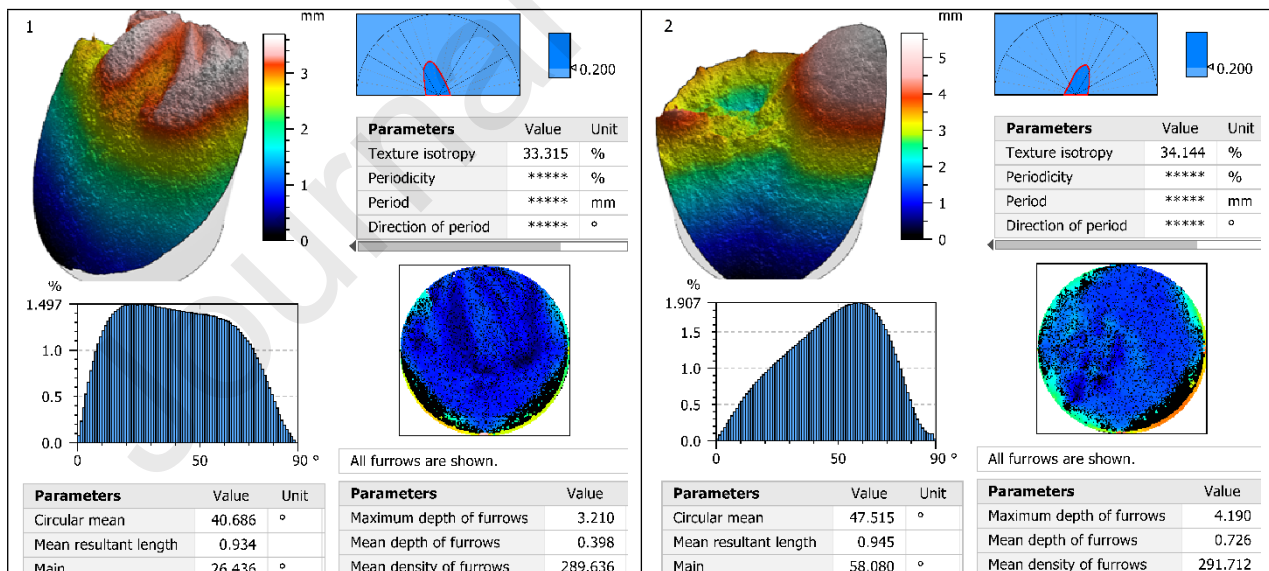
The directivity of the geometric structure of the surface depends on the fracture causes and it results from the kinematics of the fracture process. The isotropy of a medium is generally based on the fact that it exhibits the same physical or geometric properties in all directions. The isotropy of a surface, therefore, means that the surface has the same structure in all directions. It is also a perfectly symmetrical structure with respect to all possible axes of symmetry. In the examined case, the isotropy was determined by analyzing the autocorrelation function. Texture isotropy assesses the directionality and the periodicity of surface having periodic motifs in two directions (see upper right corner of each of the fractures in Fig. 13).

Extreme values of isotropy occur for the fracture surfaces of specimens R6 and R77. For the R6 fracture, the shape of texture isotropy function is asymmetric, slender, and elongated in one direction for anisotropic surfaces. The isotropy in this case was approximately 21%. On the other hand, the circular and symmetrical function graph for isotropic surfaces reached the isotropy value of almost 78% (see Fig. 13, specimen R77).

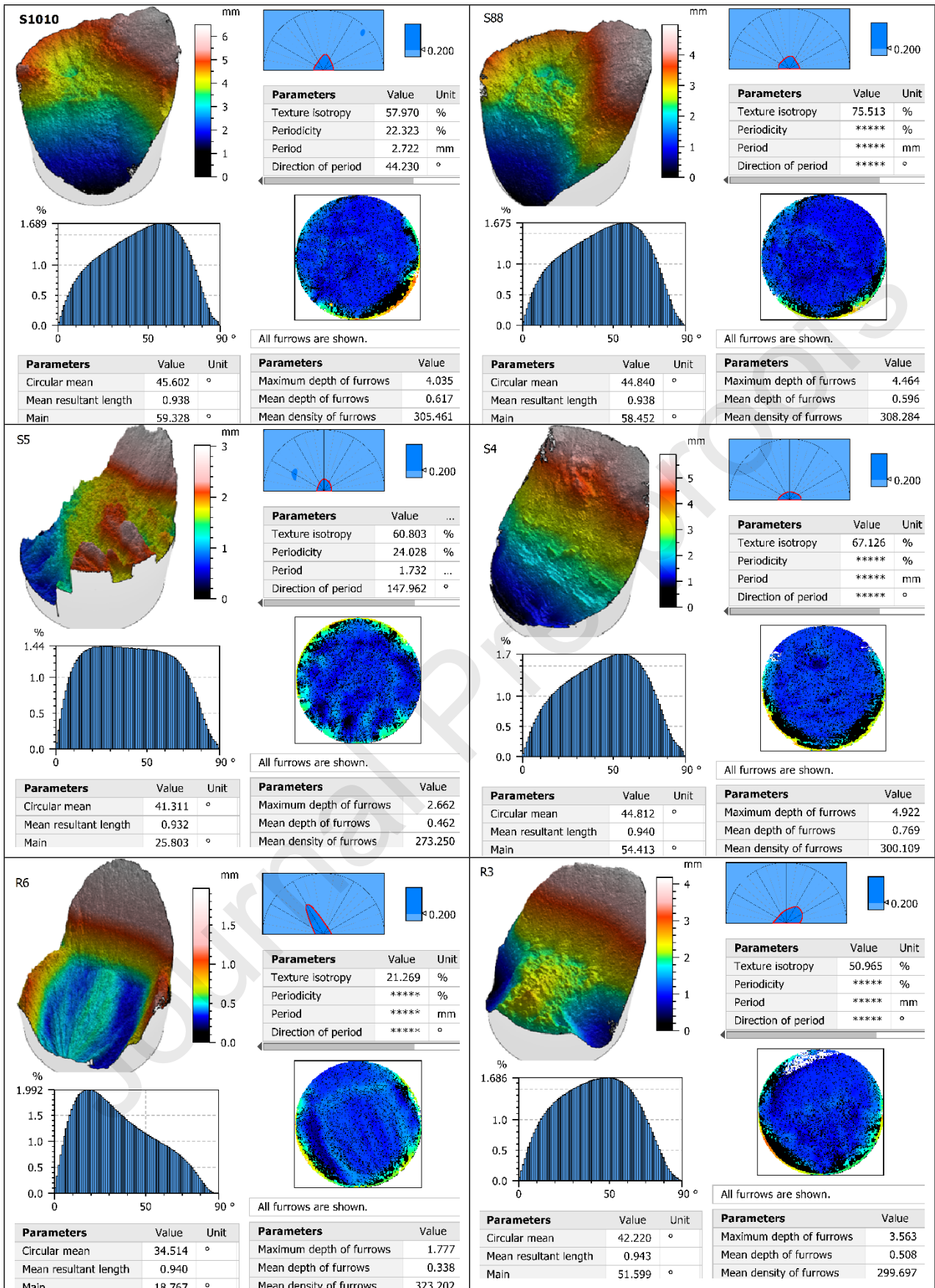
In addition to the 3D view of the fractures and the aforementioned texture isotropy, in the lower part of each case, on the left-hand side, respectively, we can see the slope distribution and furrows. Slope distribution functions in MountainsMap software analyze slope and orientation of all triangular tiles composing the surface and present their distribution. Polar angle is divided on 90 bins and width of each bin is  $1^\circ$ , whereas furrows analyze parameters concerning the micro-valleys network, such as the depth and the density.

Slope distribution and orientation of all triangular tiles formulating the surface with their computed values presented in histograms have a front-side distributions (see Figure 13). P3 and R6 fractures are an exception and have left-side concentrated distributions. The highest value for the circular mean parameter was found for the surface of specimen (2) for a  $47.515^\circ$  orientation, whereas the lower value ( $32.390^\circ$ ) was associated with the P3 fracture. The mean resultant length parameter has a value greater than 0.9 for all surfaces. Moreover, the main parameter has the highest value for the S1010 surface ( $59.320^\circ$ ), whereas the minimum value was found for the P3 specimen ( $16.585^\circ$ ).

Furrow renderings (see Fig. 13) were obtained through Fourier transform applied by using MountainsMap software on topographic height functions that simulate furrows about peaks and valleys along the surface for better qualitative visualization of the fracture surface morphology. The results of Table 4 indicate that the values of the maximum depth of furrows are smaller for the creep temperature  $T=300^\circ\text{C}$  than for the  $T=100^\circ\text{C}$  and constitute their part expressed as a percentage, respectively 26.1% for maximum depth of furrows, 36.6% for mean depth of furrows and 84.5% for mean density of furrows.









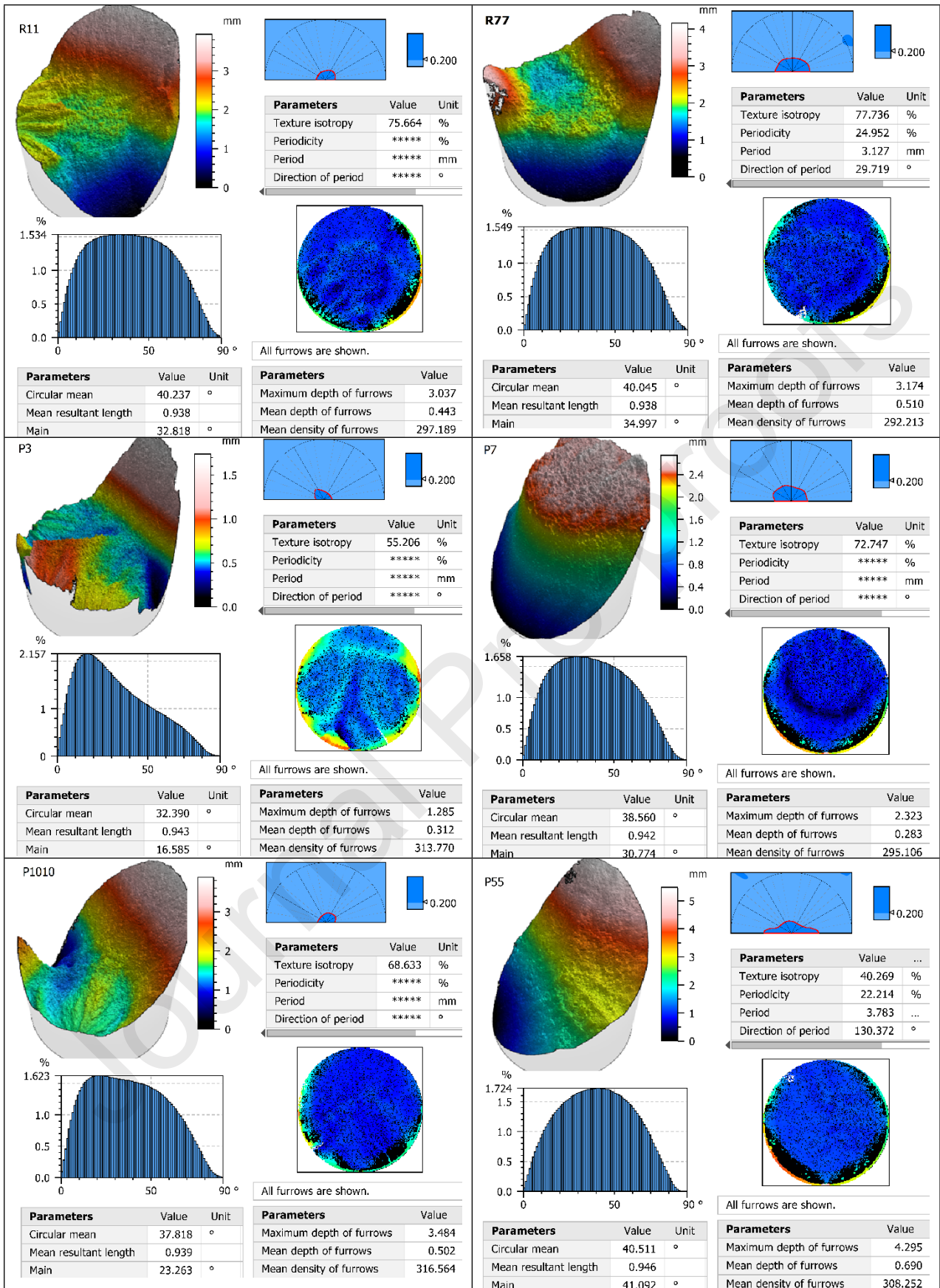


Fig. 13. Entire fractured surface 3D views, texture isotropy, slope distribution and furrows parameters.

Table 4. Results for maximum furrow depth, mean furrow depth, and furrows' mean density.

Parameters	Specimen	Value	Unit
Maximum depth of furrows (MAX)	S4	4.922	mm
Maximum depth of furrows (MIN)	P3	1.285	mm
Mean depth of furrows (MAX)	S4	0.769	mm
Mean depth of furrows (MIN)	P7	0.283	mm
Mean density of furrows (MAX)	R6	323.202	cm/cm <sup>2</sup>
Mean density of furrows (MIN)	S5	273.250	cm/cm <sup>2</sup>

### 3.2. Failure mechanisms

To investigate the creep effects on both fatigue life  $N_f$  and the fracture surface topography, in terms of the entire fracture surface, it is important to analyze the failure mechanisms and material microstructure in individual fracture zones. Electron backscatter diffraction (EBSD) maps representing the material's microstructure evolution were conducted using a Hitachi SU70 scanning electron microscope (SEM). Surface tests of fractures after LCF tests were carried out using the Olympus SXD110 optical microscope and the Phenom XL scanning electron microscope.

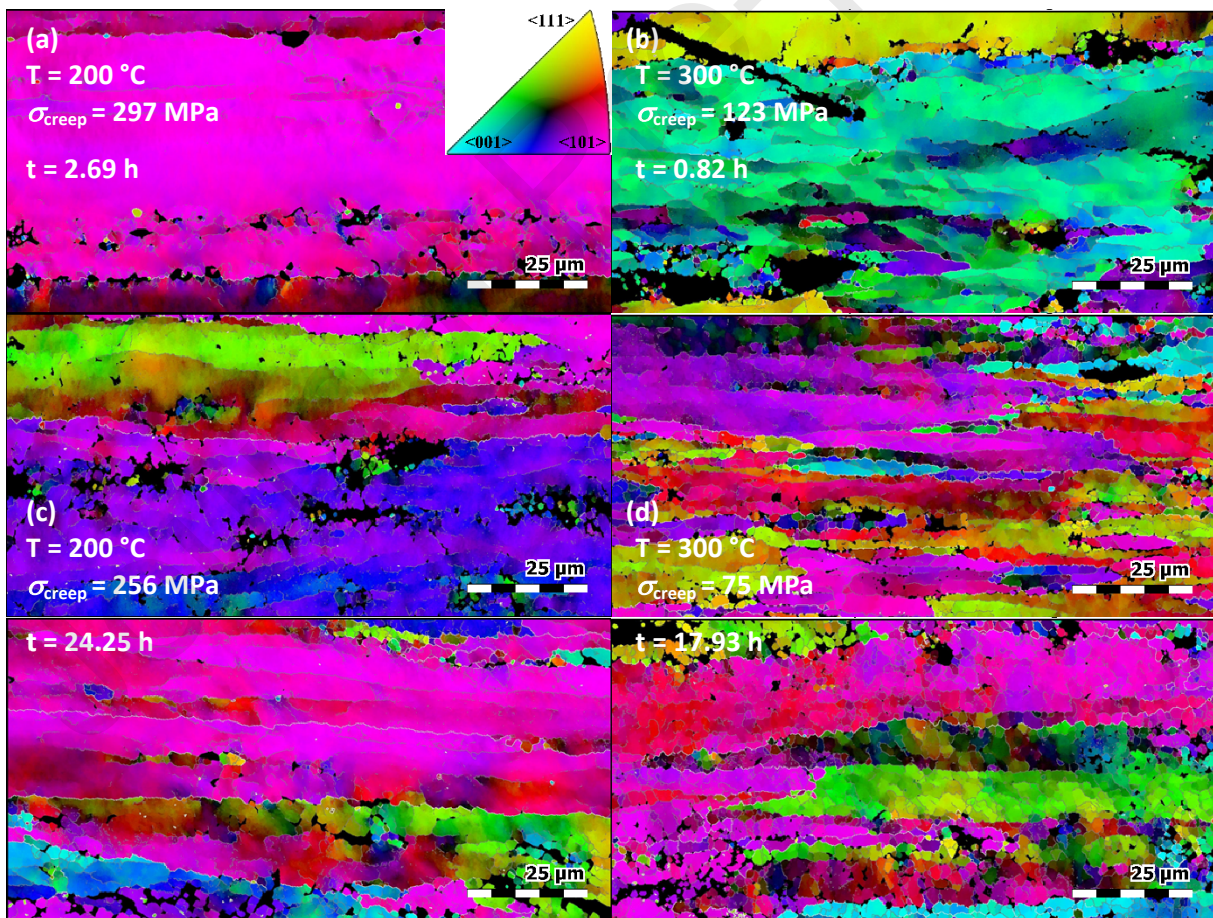


Fig. 14. Grain boundary misorientation maps for different creep-rupture test conditions <sup>21</sup>



Figure 14 shows the evolution of the microstructure of the tested alloy in the process of creep rupture for different stress values  $\sigma_{\text{creep}}$ , and thus different values of creep rupture time at temperatures of 200°C (Fig. 14a,c,e), and 300°C (Fig. 14b,d,f), respectively. The direction of the loading is horizontal here. It can be clearly observed large and elongated primary grains in the direction of the axis of the specimen. The white (light grey) lines represent boundaries with an angle of misorientation greater than 15°. The darker gray color corresponds to the boundaries with a low misorientation angle (LAB), i.e. 3-15°.

The material for which the creep time was the greatest (Fig. 14e and 14f) is characterized by a significant developed substructure of this type boundaries. It can be distinguished borders arranged in accordance with the axis of fibers (grains) and in a random way. In the case of samples with the shortest creep time (Fig. 14a and 14d), LABs also form such systems, but their density is clearly lower. For long creep times, partial (for 200°C) or almost complete (for 300°C), recrystallization of the microstructure can be observed. The resulting new grains soften the material.

Significant fragmentation, i.e. formation of fine grains, as a result of the recrystallization process is clearly visible, especially in Fig. 11f. A very well-developed structure of boundaries with a low misorientation angle (gray lines) is visible in this case. The shape of the grains is close to equiaxial. The formation of these grains is the result of dislocation rearrangement, and annihilation and is characteristic of materials with high stacking fault energy (SFE) <sup>37,38</sup>.

A clear increase in the share of low-angle boundaries (LAB) was observed, as the creep time  $t_{\text{creep}}$  increased, and the creep stress  $\sigma_{\text{creep}}$  decreased. For the 200°C case, it increased from 78% for the shortest creep time to 95% for the longest. During creep at 300°C, the increase was not significant, it varied from 86% to 95%. Moreover, an increase in the creep time to failure leads to larger grains in the microstructure of the material <sup>21</sup>.

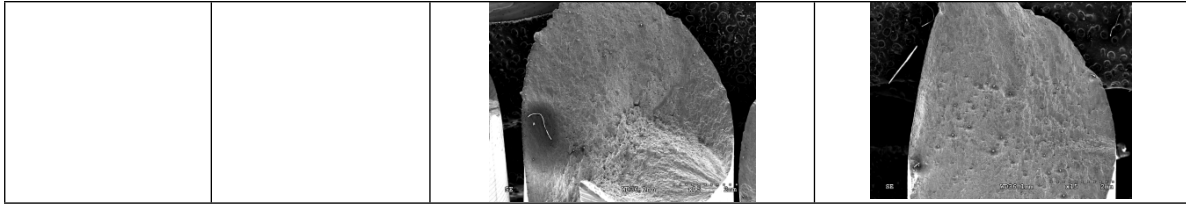
Observation of the surface of fatigue fractures (Fig. 15, and Fig. 16) made it possible to identify the main mechanisms of failure. In the case of lower values of strain amplitude  $\varepsilon_a$ , the fracture surface is bi-planar in its nature. A certain (central) part is usually occupied by an area perpendicular to the load direction with a visible crack propagating from the external surface of the sample towards the longitudinal axis. At the same time, in the central part of the section, an area covered with numerous remnants of pores can be seen. The final failure was determined by the gradual tearing of the bridges between pores, followed by rapid shearing along the planes of maximum shear stresses. This is evidenced by the existence of a second (external) area inclined at an angle of 45°.

In the case of higher values of the control variable  $\varepsilon_a$ , the fracture surfaces also exhibit a bi-planar nature, but they clearly differ from the breakthroughs corresponding to small values of  $\varepsilon_a$ . The main difference concerns the lack of a visible crack propagating from the external surface of the sample. Here, the damage initiation process started in the central part of the cross-section perpendicular to the load direction. The shape and morphology of the dimples, i.e. the remnants pore (see Fig. 16), as well as earlier numerical calculations <sup>39</sup> carried out for static load, proved that the initiation was governed by the principal stress  $\sigma_1$ , or principal strain  $\varepsilon_1$ , acting in the axis of the sample. The bridges between the pores in this area were torn first. In the bottoms of the pores, coarse precipitations with sharp edges can be seen. At the moment of deformation, in the direction of the load, the bridge wall was in contact with the sharp edge of the precipitates, which could initiate the tearing process.

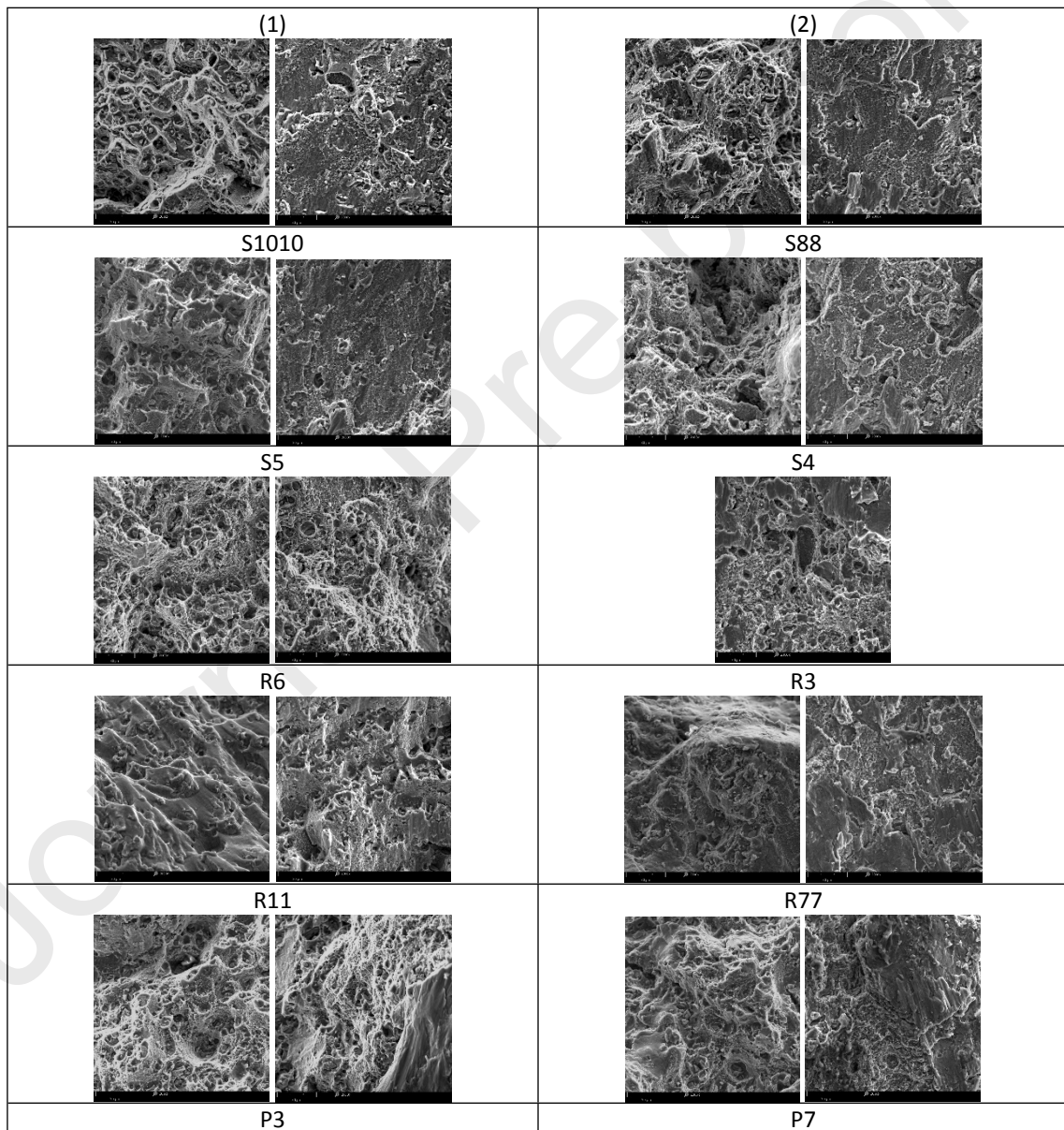
The second plane of the fracture surface, oriented at an angle of 45°, indicates the dominance of maximum shear stresses. This is also confirmed by the remnants of pores sheared in the

forementioned direction, as well as by the view of cut smooth surfaces by cleavage planes. The shearing process was rapid, probably in one or several of the last cycles. It is worth noting that although the entire surface of the fractures of samples S4 and P55 is clearly inclined at an angle of 45°, the damage initiation took place exactly in the axis of the sample. Then, a shearing process occurred rapidly.

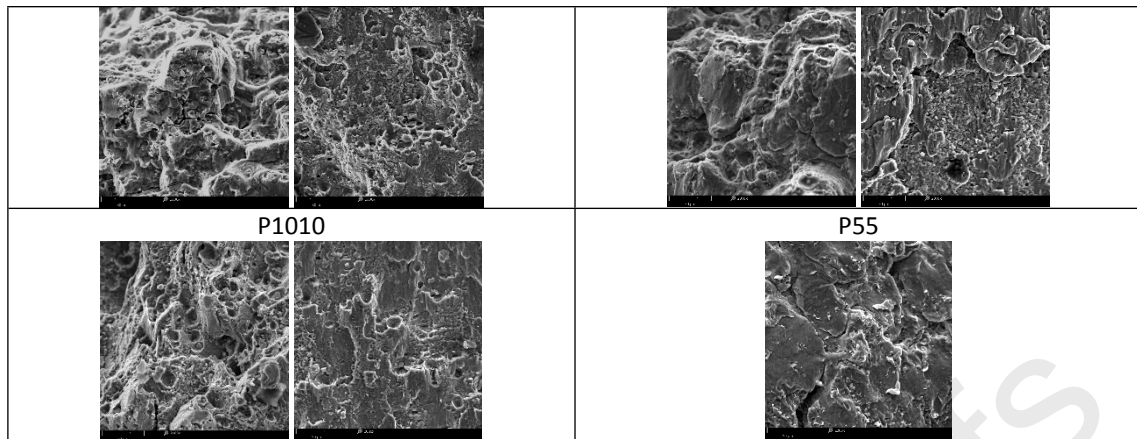
Pre-strain temperature	Pre-strain level ( $\varepsilon_s$ or $\varepsilon_t$ ) [%]	Lower loading amplitudes	Higher loading amplitudes
as-received		(1) 	(2) 
100°C	$\varepsilon_s=10$	S1010 	S88 
	$\varepsilon_t=15$	S5 	S4 
200°C	$\varepsilon_s=0.6$	R6 	R3 
	$\varepsilon_t=2.3$	R11 	R77 
300°C	$\varepsilon_s=0.4$	P3 	P7 
	$\varepsilon_t=2.3$	P1010 	P55 



**Fig. 15.** View of fatigue fractures obtained for as-received material and for different creep pre-strain histories considering lower and higher values of strain amplitude  $\varepsilon_0$ .



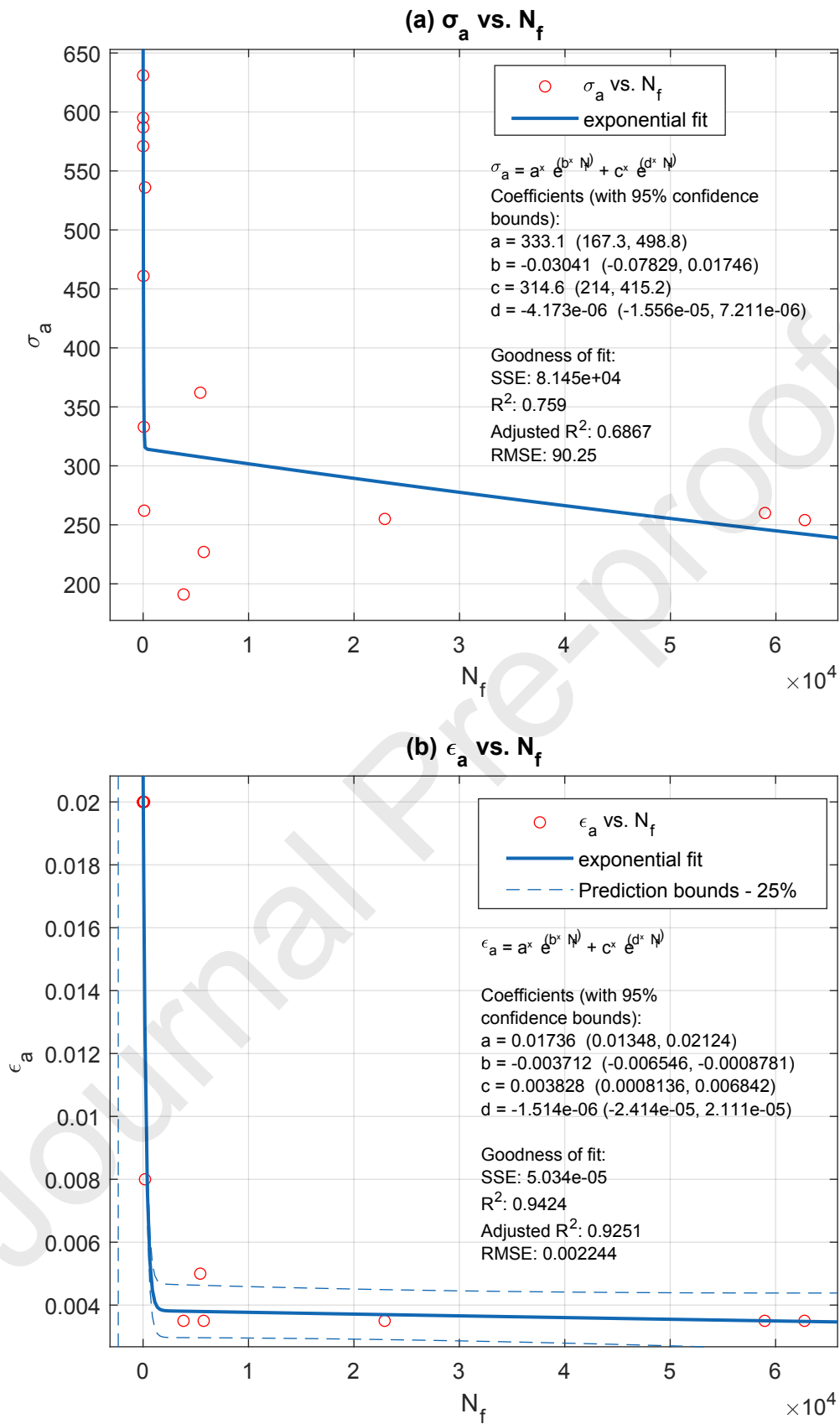




**Fig. 16.** SEM view of fatigue fractures presented in Fig. 15 for both central (ruptured) and external (sheared) parts of the fracture area. The figure on the left, inside each single table cell, corresponds to the central area, while the figure on the right corresponds to the external area. One image per cell means that the central and external areas did not differ in their morphology.

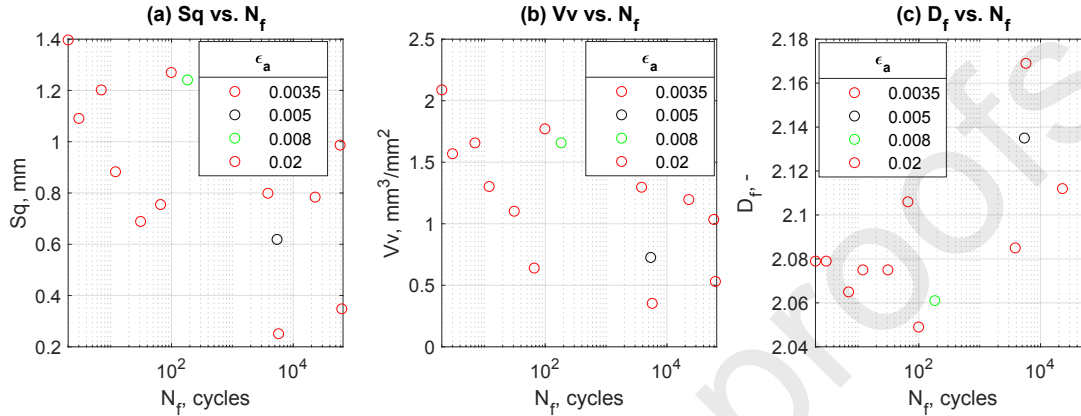
### 3.3. Fatigue life prediction

The fatigue life prediction models that relate the damage accumulation to the number of cycles taking into account the loading conditions based on the stress amplitude  $\sigma_a$  and the strain amplitude  $\varepsilon_a$  are difficult to establish in this case, see Fig. 17, due to the complexity of the various damaging mechanisms acting on the specimen. For Figure 17, as for plots in Figure 9, the log-log scale was abandoned. Curve fitting applied to both  $\sigma_a$  vs.  $N_f$  plot and  $\varepsilon_a$  vs.  $N_f$  plot, using a two-term exponential model, are shown in Figure 17a and Figure 17b, respectively. Fitted data show a satisfactory correlation, but it is not possible to properly model the fatigue life using them, taking into account the accompanying phenomena.



**Fig. 17.** (a) Stress amplitude  $\sigma_a$  vs. number of cycles to failure  $N_f$ ; and (b) strain amplitude  $\epsilon_a$  vs. number of cycles to failure  $N_f$ , using a two-term exponential model fit.

Fig. 18 analyses the relationship between the fracture surface parameters ( $S_q$ ,  $V_v$  and  $D_f$ ) and the fatigue life  $N_f$ . It is clear from these three plots that those parameters and the fatigue life  $N_f$  do not show satisfactory consistency in terms of linear functions for all tested specimens. Therefore, in the subsequent analysis, a combined model encompassing various parameters is used. The general tendency found from Fig. 18 is that the smaller the fatigue life  $N_f$ , the greater the roughness represented by  $S_q$  and  $V_v$ . The opposite tendency occurs for the fractal dimension  $D_f$  (see Fig. 18c).



**Fig. 18.** Relationship between the selected fracture surface parameters and the fatigue life  $N_f$ , taking into account LCF strain amplitudes  $\epsilon_a$ ; (a)  $S_q$  versus  $N_f$ ; (b)  $V_v$  versus  $N_f$ ; (c)  $D_f$  versus  $N_f$ .

Due to the complexity of damage mechanisms, it is fundamental to identify adequate driving parameters for mixed creep under LCF along with fracture surface parameters to better assess the fatigue life  $N_f$ . Thus, in this study, the fatigue life is assessed via the novel fracture surface state parameter  $P$ , defined by the following expression:

$$P = \frac{V_v D_f}{S_q} \epsilon_a \sigma_a + \epsilon_{creep} \sigma_{creep} \quad (2)$$

which combines the three topography characteristics (i.e. height parameter  $S_q$ , the functional parameter  $V_v$ , and the fractal dimension  $D_f$ ) with both the strain amplitude  $\epsilon_a$  and the stress amplitude  $\sigma_a$ , as well as the creep strain  $\epsilon_{creep}$  and the creep stress  $\sigma_{creep}$ .

This parameter aims to reflect both low-cycle fatigue and the creep histories. Thus, the second part of the formula combines two main quantities in order to reflect the physical meaning of the damaging process. The first quantity reflects the low-cycle fatigue loading history by accounting for both the strain energy density ( $\epsilon_a \sigma_a$ )<sup>40-42</sup> and the fracture surface energy through the combination of three fracture surface topography parameters ( $V_v D_f$ )/ $S_q$ . The second quantity reflects the creep scenario, and because fracture does not occur in this stage, only the strain energy density component is calculated.

Fig. 19 plots the proposed topographic stress factor  $P$  against the number of cycles to failure in natural logarithmic coordinates,  $\log(N_f)$ . An exponential function obtained from the data points is also plotted. Through the value of the coefficient of determination  $R^2$ , it can be observed a good correlation for this data configuration. Goodness of fit is shown in Fig. 19.



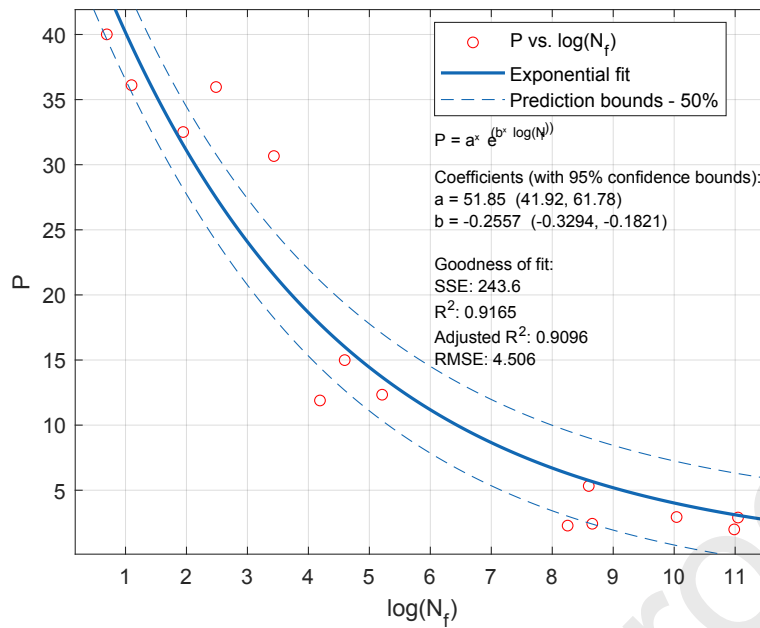


Fig. 19. Topographic stress factor  $P$  vs. number of cycles to failure for the tested cases.

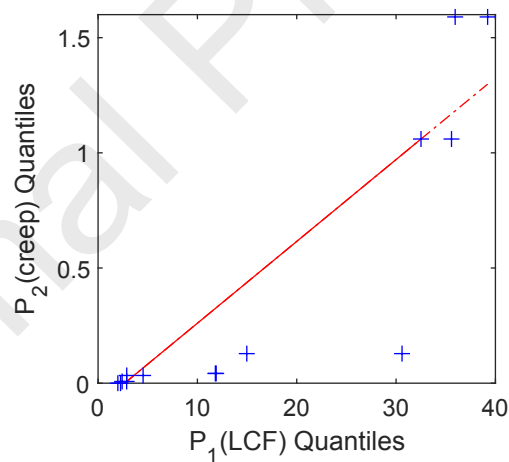


Fig. 20. Quantile-quantile plot quantifying the damage fraction of creep and LCF.

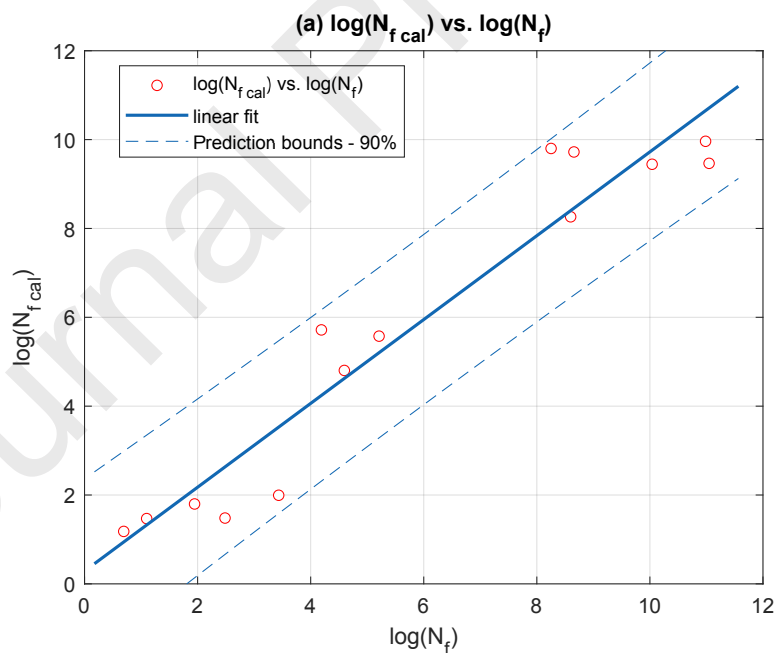
Fig. 20 displays a quantile-quantile plot created from both the  $P_1$  quantity associated with the LCF loading ( $\frac{V_v D_f}{S_q} \varepsilon_a \sigma_a$ ) and the  $P_2$  quantity associated with the creep history ( $\varepsilon_{creep} \sigma_{creep}$ ). A quantile-quantile plot orders the sample data values from smallest to largest, then plots these values against the expected value for the specified distribution at each quantile in the sample data. The plot shows that the damage fraction of creep ( $P_2$ ) is smaller than the damage fraction of LCF ( $P_1$ ). The plot is not a straight line, suggesting that the  $P_2 - P_1$  data do not follow a normal distribution.

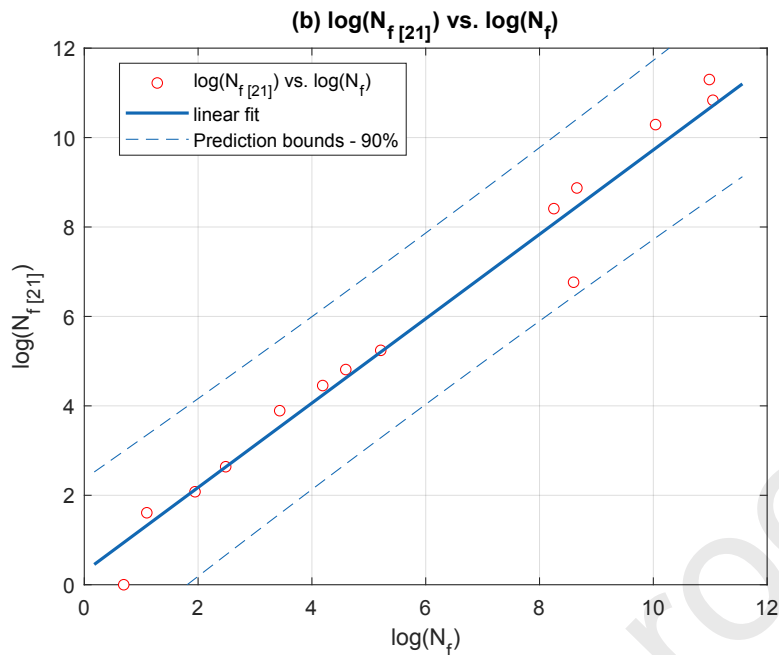
The number of cycles to failure in natural logarithmic coordinates  $\log(N_f)$  and the fitted number of cycles to failure in natural logarithmic coordinates  $\log(N_{fcal})$  computed from the topographic stress factor  $P$  obtained from the experimental data (the exponential equation displayed in Fig. 19) is compared in Fig. 21. The experimental fatigue life  $N_f$  and the calculated fatigue life  $N_{fcal}$  computed from the fracture surface parameter  $P$  (see Eq. (2)) is compared in Fig. 21(a). The results obtained with the proposed  $P$  parameter are also compared with those obtained by Tomczyk and Seweryn<sup>21</sup> or Falkowska et al.<sup>43</sup> (see Fig. 21(b)). This approach states that in a single fatigue load cycle, the increase of the damage parameter  $\omega$  depends on the increase of plastic strain  $d\varepsilon_p$

$$\Delta\omega = \frac{A_\omega}{\sigma_c^{n_\omega}} \int_0^{\varepsilon_{ap}} \sigma^{n_\omega} d\varepsilon_p, \quad (3)$$

where  $\sigma_c$  is the nominal critical stress, and  $A_\omega$  and  $n_\omega$  are material parameters.

As can be seen, both calculations correlate well with the experimental results for these cases of mixed loading conditions. Confidence and prediction bounds define the lower and upper values of the associated interval and define the width of the interval. The width of the interval indicates how uncertain are the fitted coefficients, the predicted observation, or the predicted fit. The bounds are defined with a level of certainty of 90%. It gives a 10% chance of being incorrect about predicting a new observation. This interval indicates 90% chance that the new fatigue test result is actually contained within the lower and upper prediction bounds.





**Fig. 21.** The fitted number of cycles to failure in natural logarithmic coordinates: (a)  $\log(N_{f_{cal}})$  computed from the topographic stress factor  $P$  versus the number of cycles to failure in natural logarithmic coordinates  $\log(N_f)$ ; (b) predicted number of cycles to failure according to Tomczyk and Seweryn<sup>21</sup> in natural logarithmic coordinates  $\log(N_f)$  versus the number of cycles to failure in natural logarithmic coordinates  $\log(N_f)$ .

#### 4. Summary and conclusions

The effect of the mixed creep and LCF fracture surface parameters has been studied for EN-AW 2024 aluminum alloy. A quantitative analysis with the entire fracture surface method of the tested specimens was performed for each loading case. A new topographic stress factor  $P$  based on the fracture surface state combined with the strain and stress amplitudes and also with the creep strain and creep strain is proposed to assess the durability. The conclusions can be summarized as follows:

- The creep history had a strong influence not only on fatigue life but also on surface topography measurements, either using area  $S_x$ , volume  $V_x$  or fractal dimension  $D_f$  parameters;
- The creep and LCF effects can be examined using  $S_x$ ,  $V_x$  and  $D_f$  parameters but also have a strong influence on other such indicators, such as texture isotropy, furrows depth and slope isotropy;
- The values of maximum depth of furrows for LCF fractures are smaller for a creep temperature equal to 300°C than for 100°C;
- In the case of low values of  $\varepsilon_a$ , the damage initiation process begins on the external surface of the specimen with a clearly visible propagation stage;
- For large values of  $\varepsilon_a$ , the initiation takes place in the axis of the sample and is governed by the principal stress  $\sigma_I$  or the principal strain,  $\varepsilon_I$ .
- Formation of a fine-grained structure, as a result of recrystallization during creep at elevated temperature, significantly affects the improvement of fatigue life in the area dominated by plastic deformation and deterioration in the area dominated by elastic deformation;

- Significant mechanical hardening during creep at 100°C deteriorates fatigue life for high plastic deformation region;
- The new post-failure equivalent topographic stress factor  $P$  seems to reflect the physical failure conditions of this material under creep and LCF loads;
- The fatigue lives predicted using the proposed model agreed well with the experiments, with all points within prediction bounds 90%;
- The results obtained with the new model are within the same accuracy bounds (90%) as the Fakowska, Tomczyk and Seweryn's model, but the proposed approach is faster and simpler to implement, which can be advantageous.

Future research should focus on the broad data mining by utilizing other tested materials and loading scenarios via the introduction of data-driven approaches.

### Acknowledgement

This work was supported by the Ministry of Science and Higher Education of Poland (project No 2018/29/B/ST8/00697, National Science Centre of Poland). This research is sponsored by FEDER funds through the program COMPETE – Programa Operacional Factores de Competitividade – and by national funds through FCT – Fundação para a Ciência e a Tecnologia – under the project UIDB/00285/2020.

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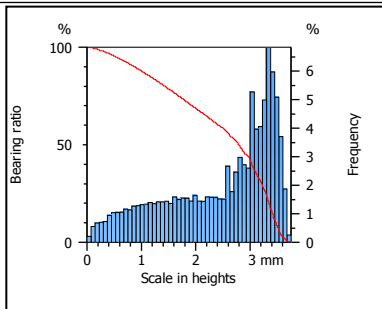
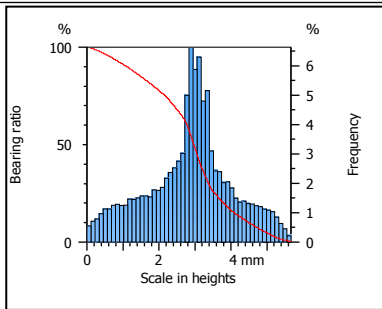
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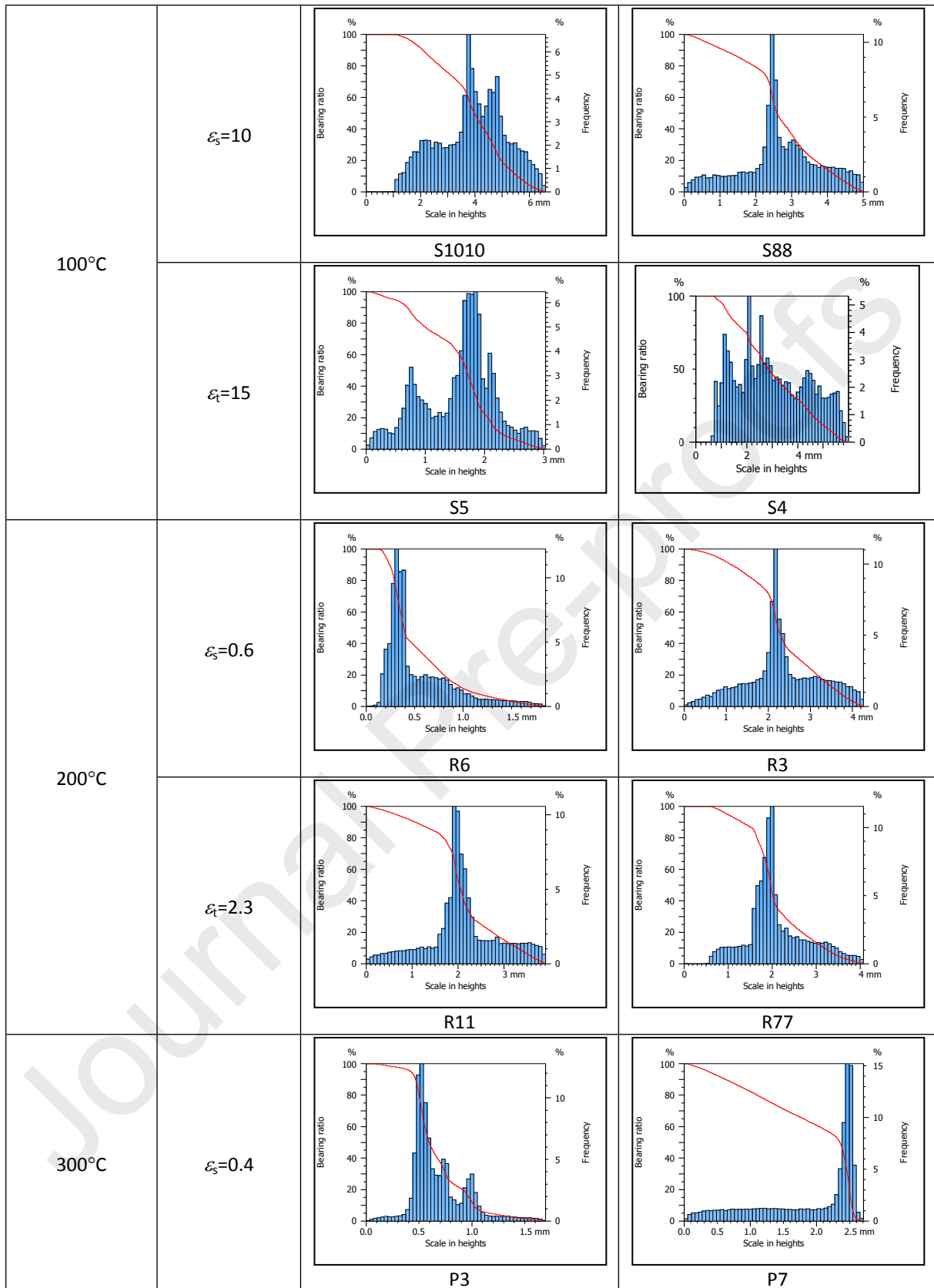


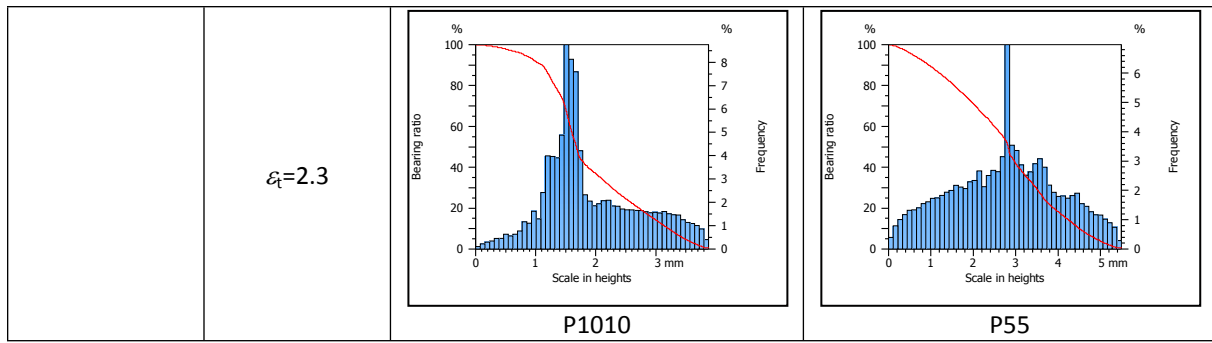
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## Appendix A1. Histograms and Abbott curves for analyzed fractures

Pre-strain temperature	Pre-strain level ( $\varepsilon_s$ or $\varepsilon_t$ ) [%]	Lower loading amplitudes	Higher loading amplitudes
as-received		 <p>(1)</p>	 <p>(2)</p>





**Fig. A1.** Histograms and Abbott curves of fatigue fractures obtained for as-received material and for material with different history of creep pre-strain for lower and higher values of the load amplitude  $\varepsilon_a$

Journal Pre-proofs



**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proofs

- The effect of creep pre-strain on LCF failure is examined via fractographic analysis for 2024 AA
- $S_x$ ,  $V_x$  and  $D_f$  fracture surface parameters were strongly dependent on the creep pre-strain history
- A new damage parameter  $P$  combining fracture surface topography and load features is introduced
- The proposed damage parameter  $P$  can successfully estimate the fatigue lifetime for 2024 AA

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